



# Seismic anisotropy and shear-wave splitting: constraints on mantle deformation and flow

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# Outline

- Seismic anisotropy in the crust and mantle - causes and effects
- Effects on receiver functions - with a focus on H-k stacking
- Shear-wave splitting analysis (relies on simple assumptions!)
- Waveform modeling
- Case studies - South American subduction

## Definition

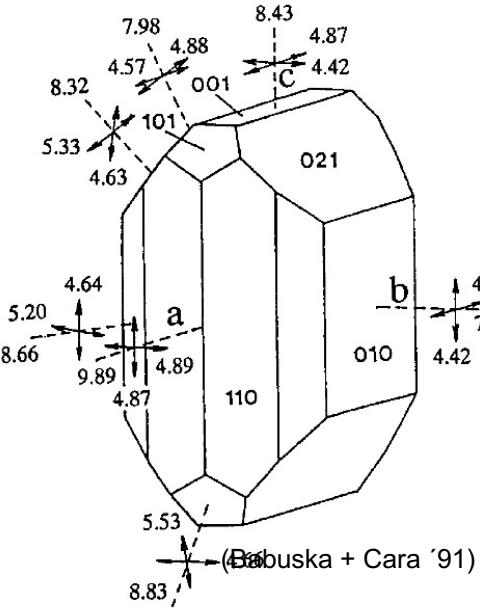
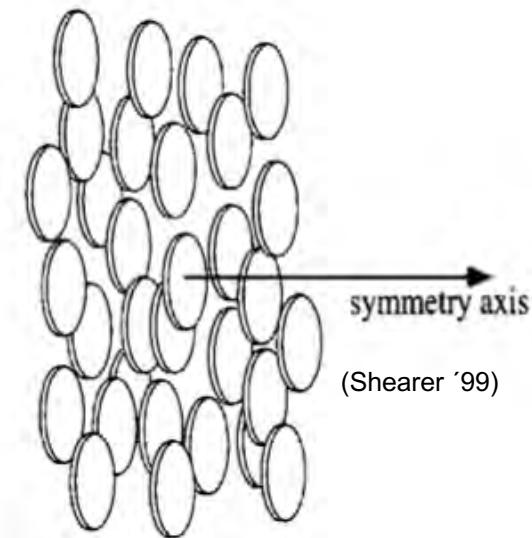
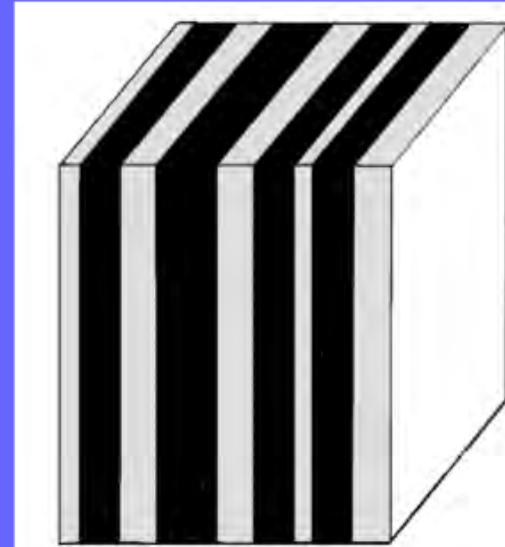
Seismic anisotropy describes the dependence of seismic wave velocities on

- the direction of propagation or
- shear-wave polarization.

# Causes of large-scale seismic anisotropy

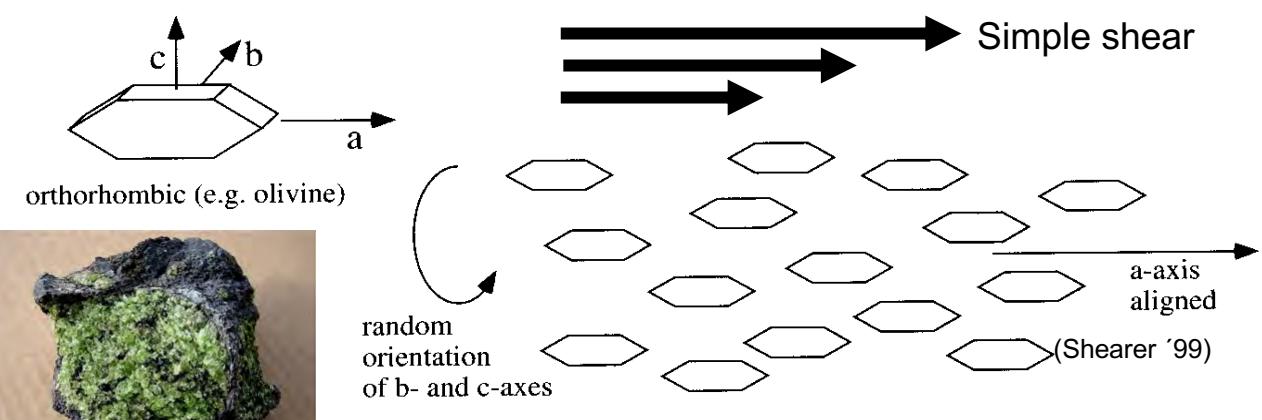
## Earth's crust

- Periodical sedimentary layering
- Geometrical ordering e.g. due to aligned cracks, magmatic inclusions (Shape-preferred orientation, SPO)
- Anisotropic crystals

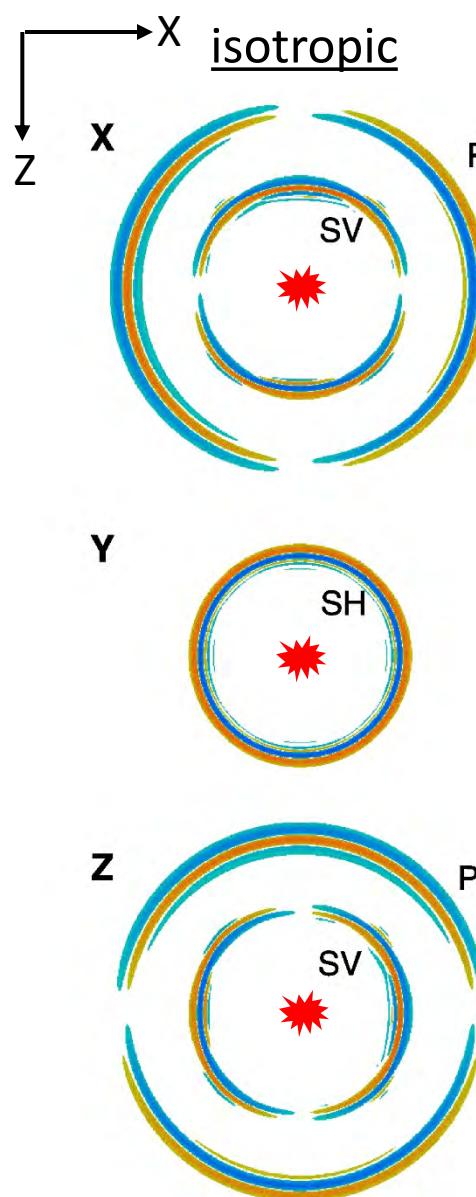


## Earth's mantle

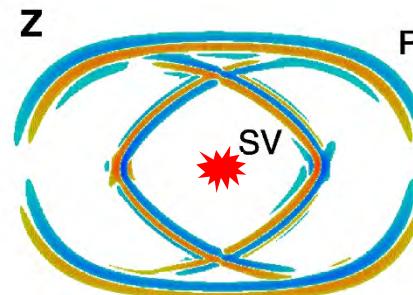
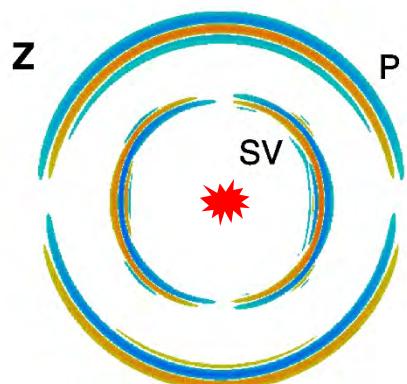
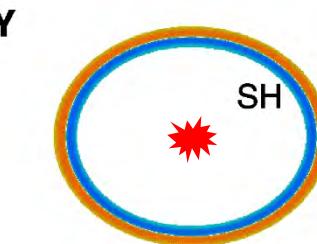
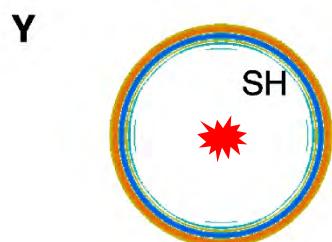
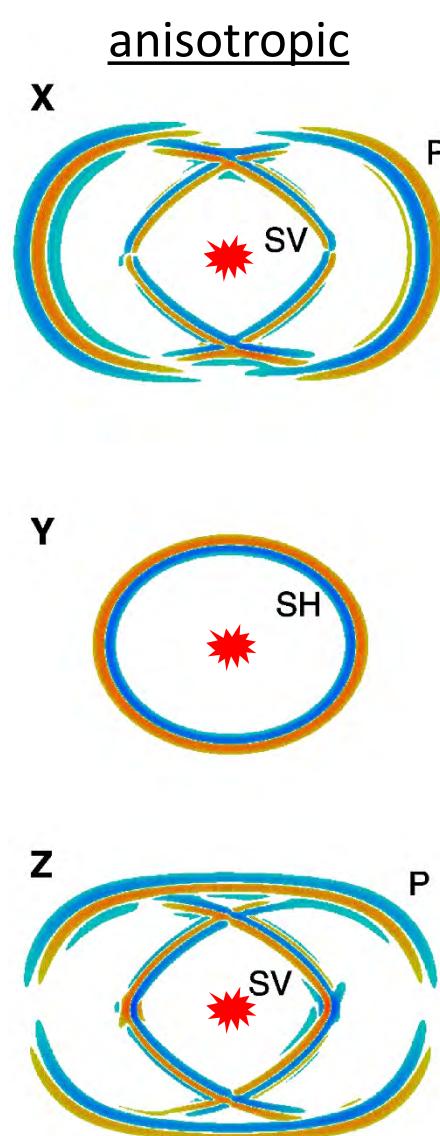
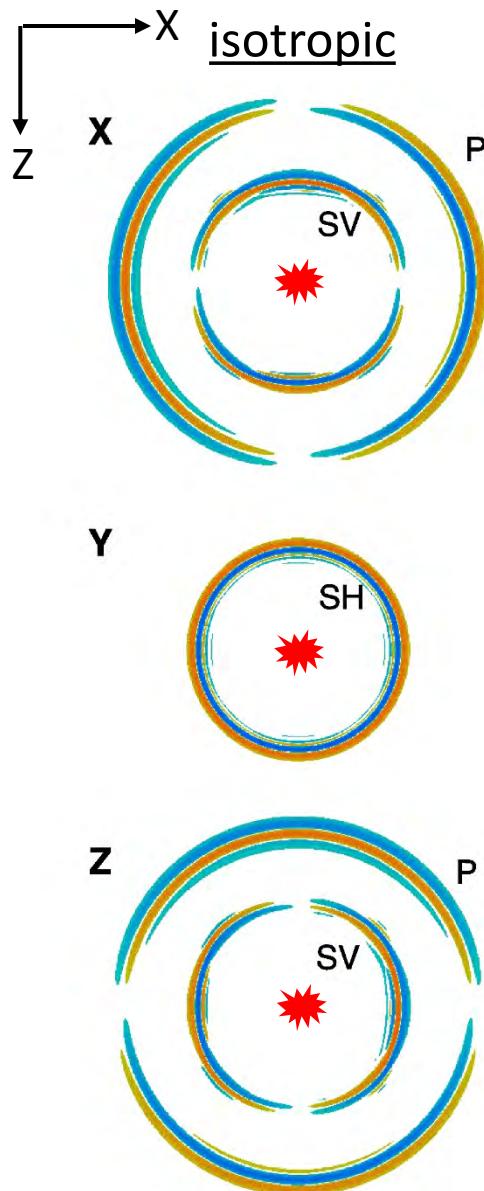
Lattice-preferred orientation (LPO) of olivine due to deformation and flow processes



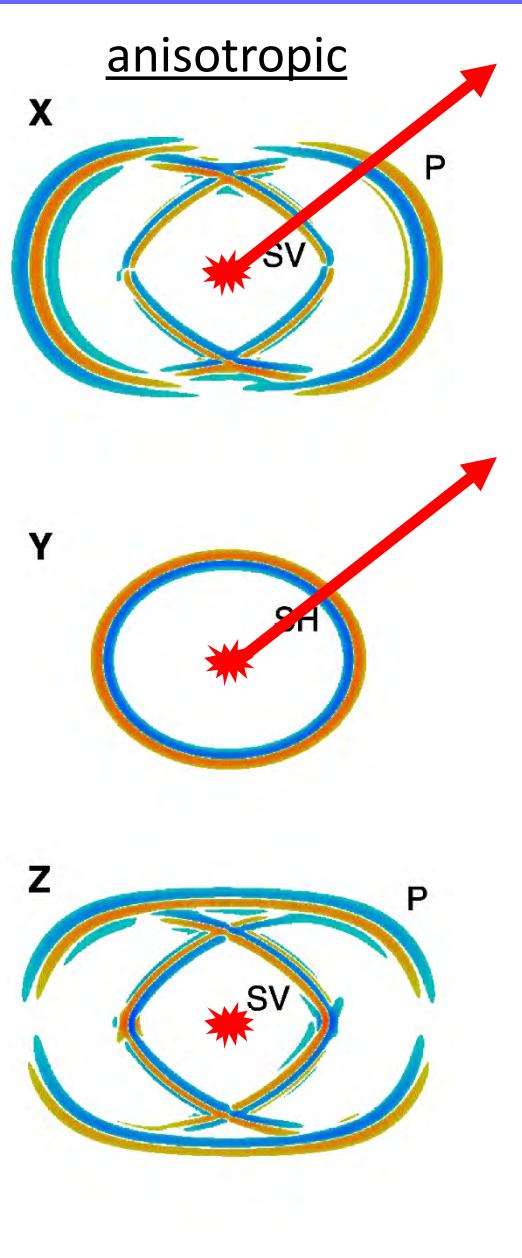
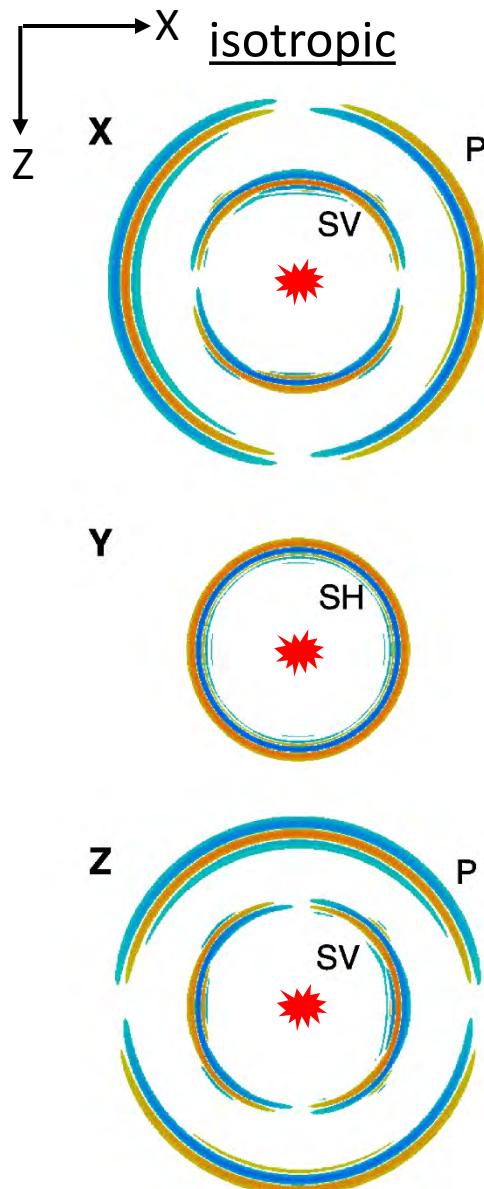
# Wave effects of seismic anisotropy



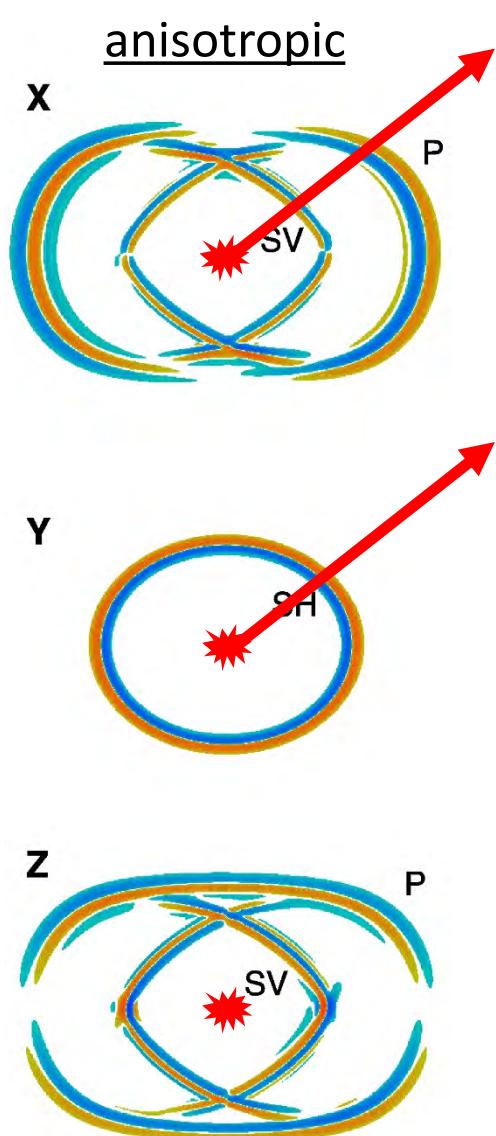
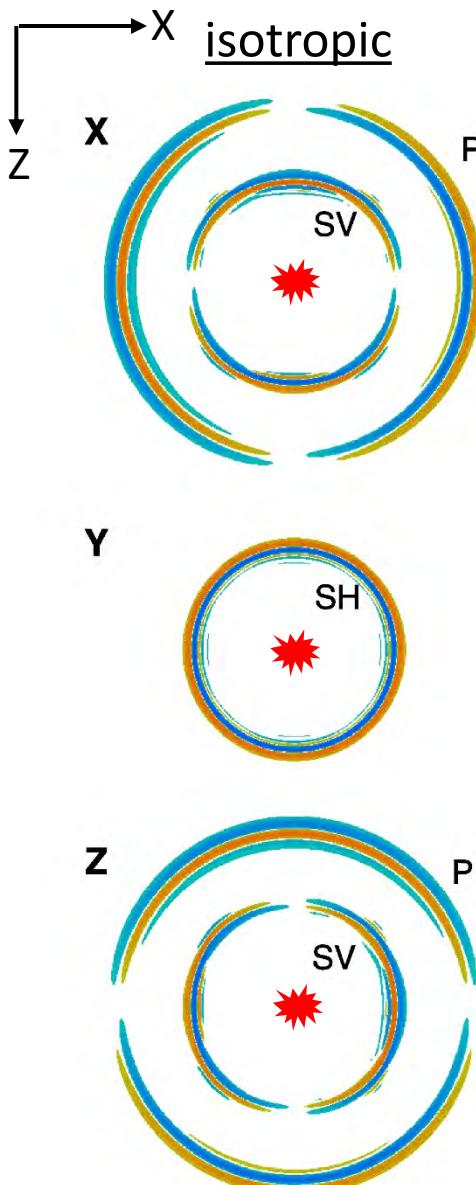
# Wave effects of seismic anisotropy



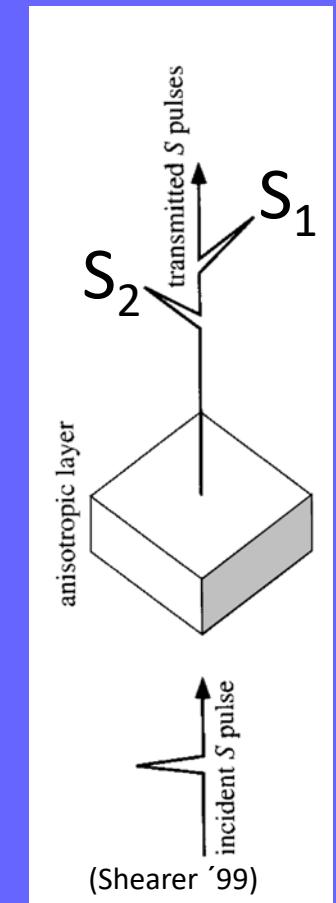
# Wave effects of seismic anisotropy



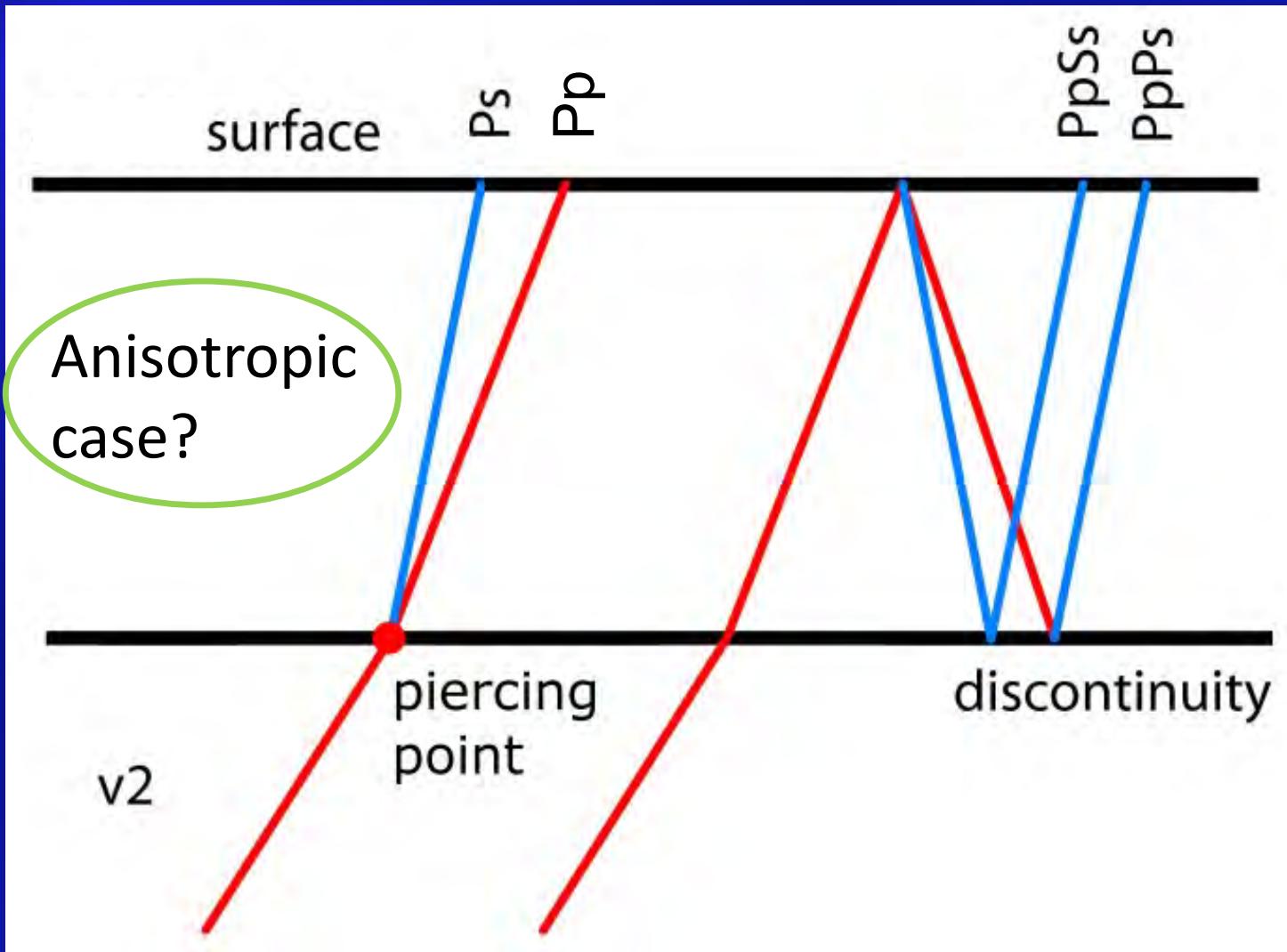
# Wave effects of seismic anisotropy



shear-wave splitting:  
 $V_{SH} \neq V_{SV}$



# Receiver functions – converted phases isotropic case



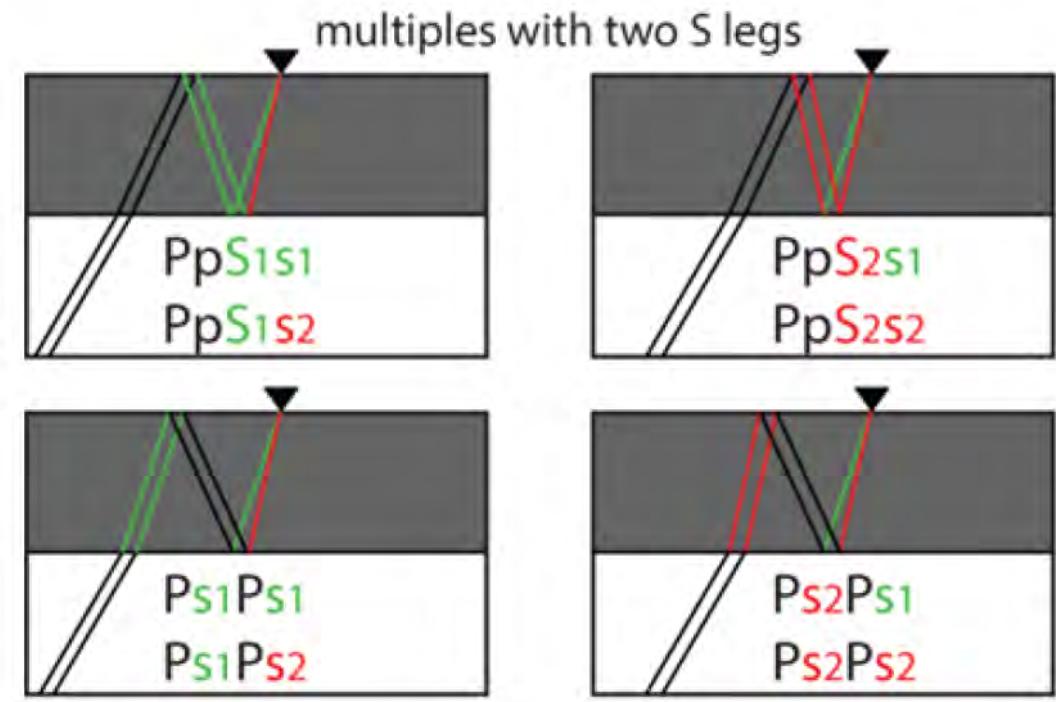
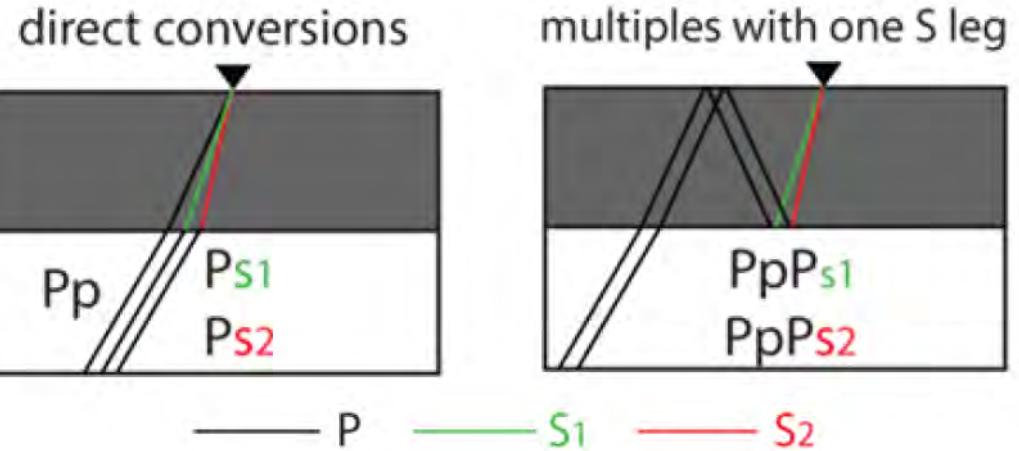
# Moho-converted S phases in the anisotropic case

Shear-wave splitting:

- Ps: 2 phases
  - PpPs: 2 phases
  - PpSs: 4 phases
  - PsPs: 4 phases
- = 12 phases

+  $2^3 = 8$  phases  
with three S-legs: PsSs

= 20 shear-wave arrivals  
in total



... and in the isotropic case?

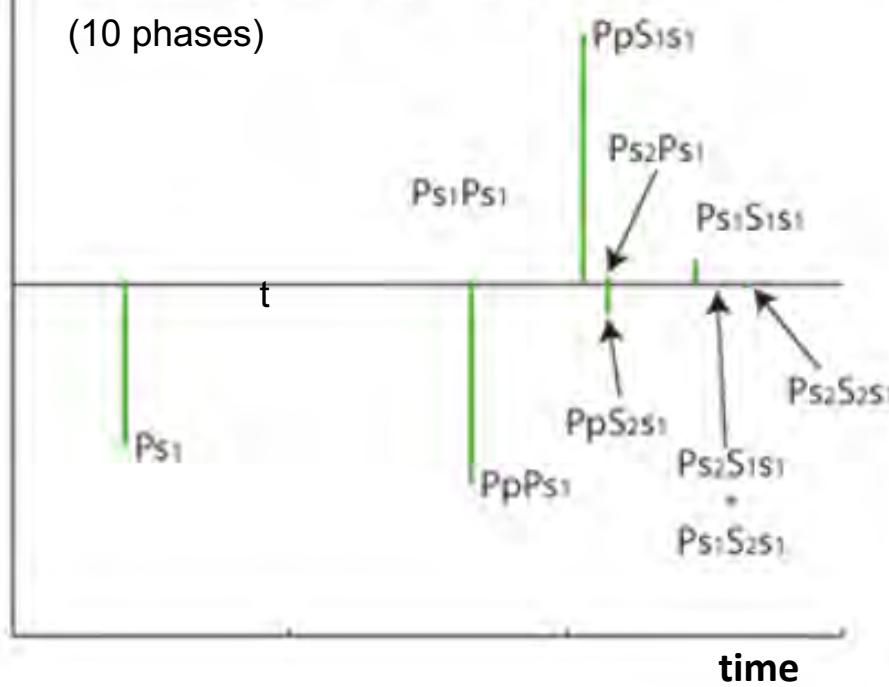
# Receiver-functions arrival times and amplitudes

- anisotropic crust atop isotropic mantle

A

## fast-component arrivals

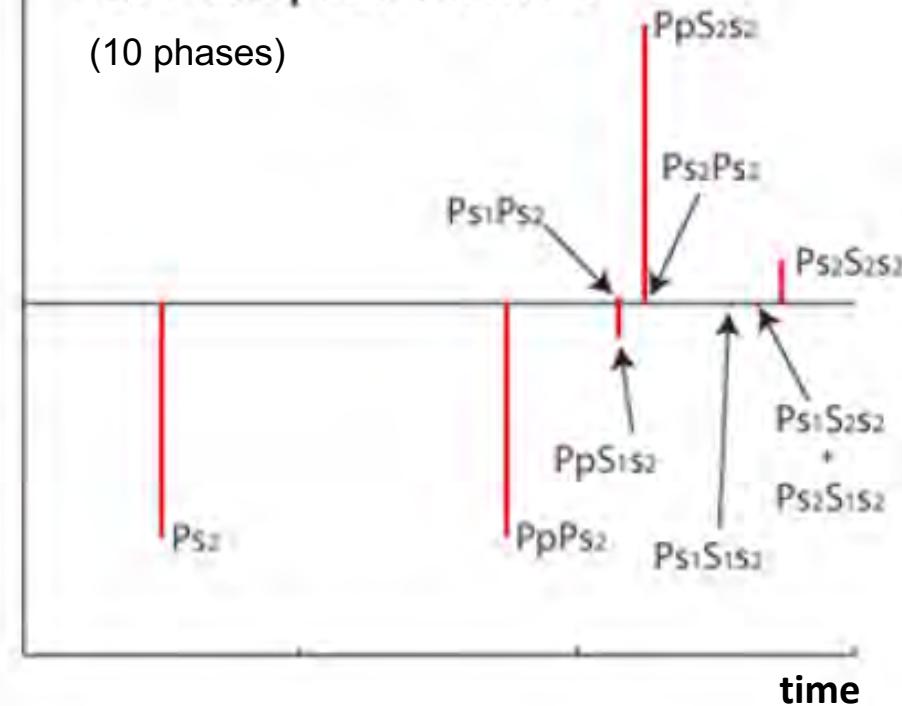
(10 phases)



A

## slow-component arrivals

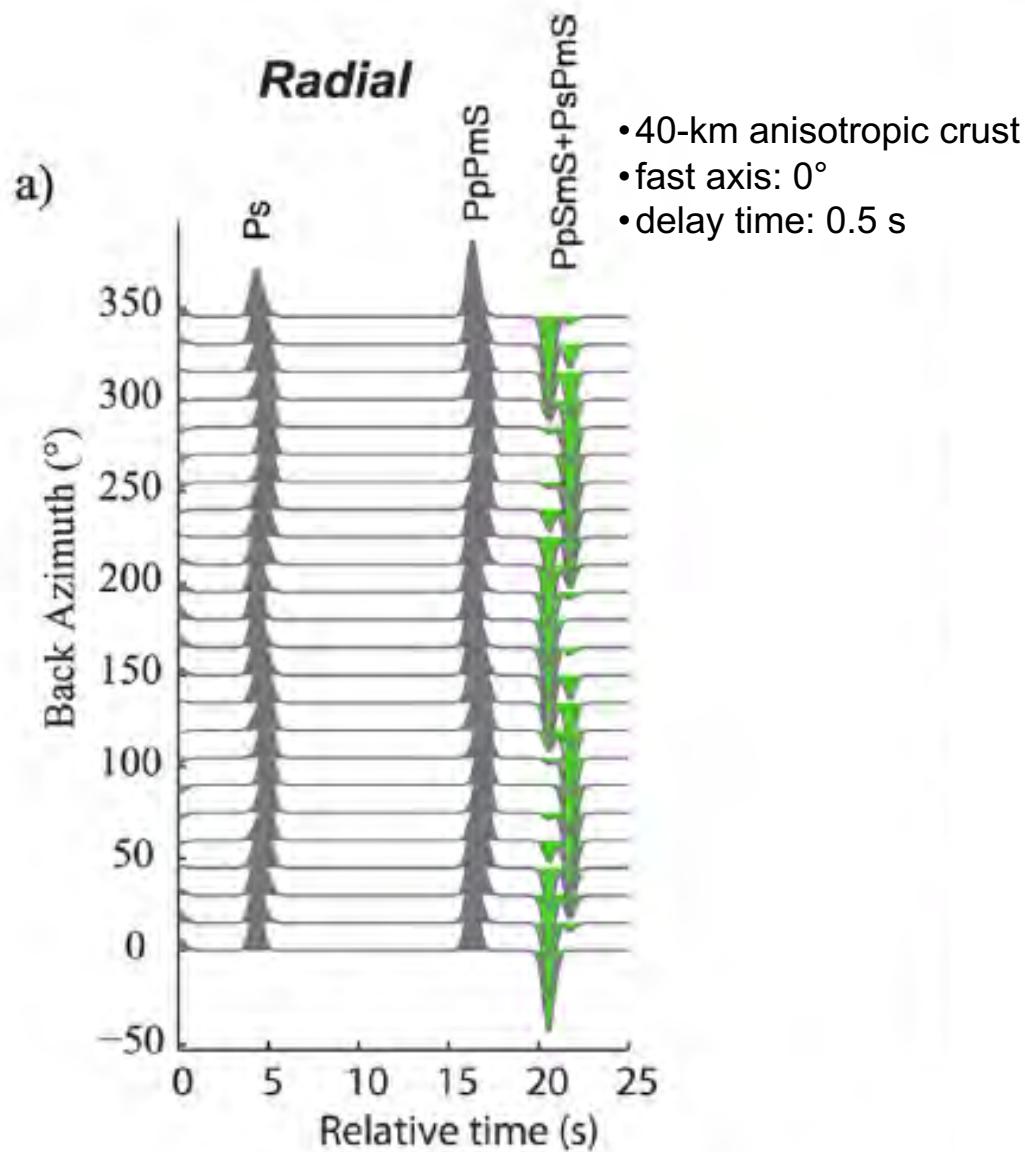
(10 phases)



# Azimuthal variations of receiver functions

## - anisotropic crust -

(Rümpker et al., 2014)

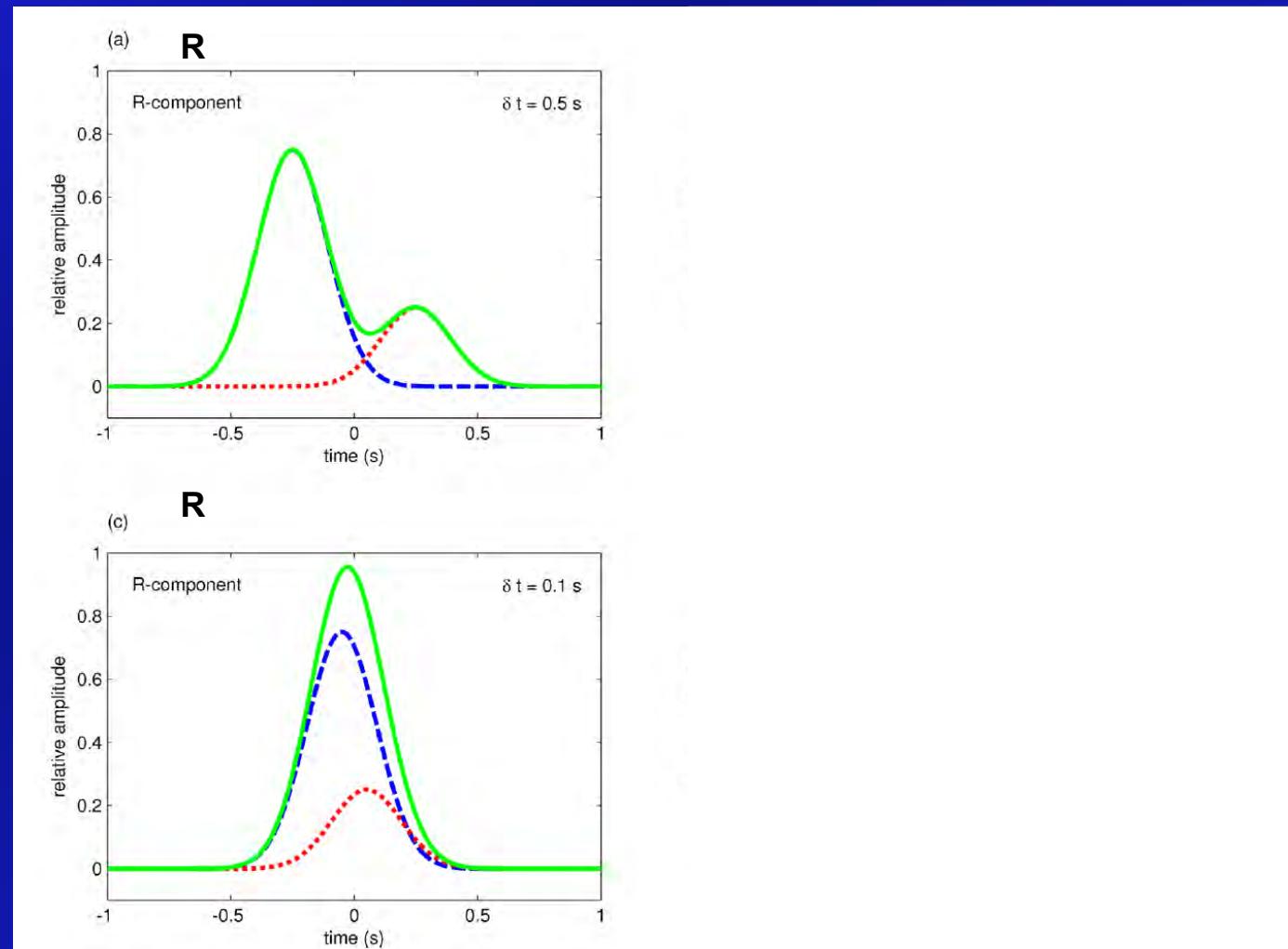


# Radial and transverse Ps waveforms

strong  
anisotropy  
 $> 5\%$

moderate  
anisotropy  
 $< 5\%$

Fast, **slow**, and **effective** phases

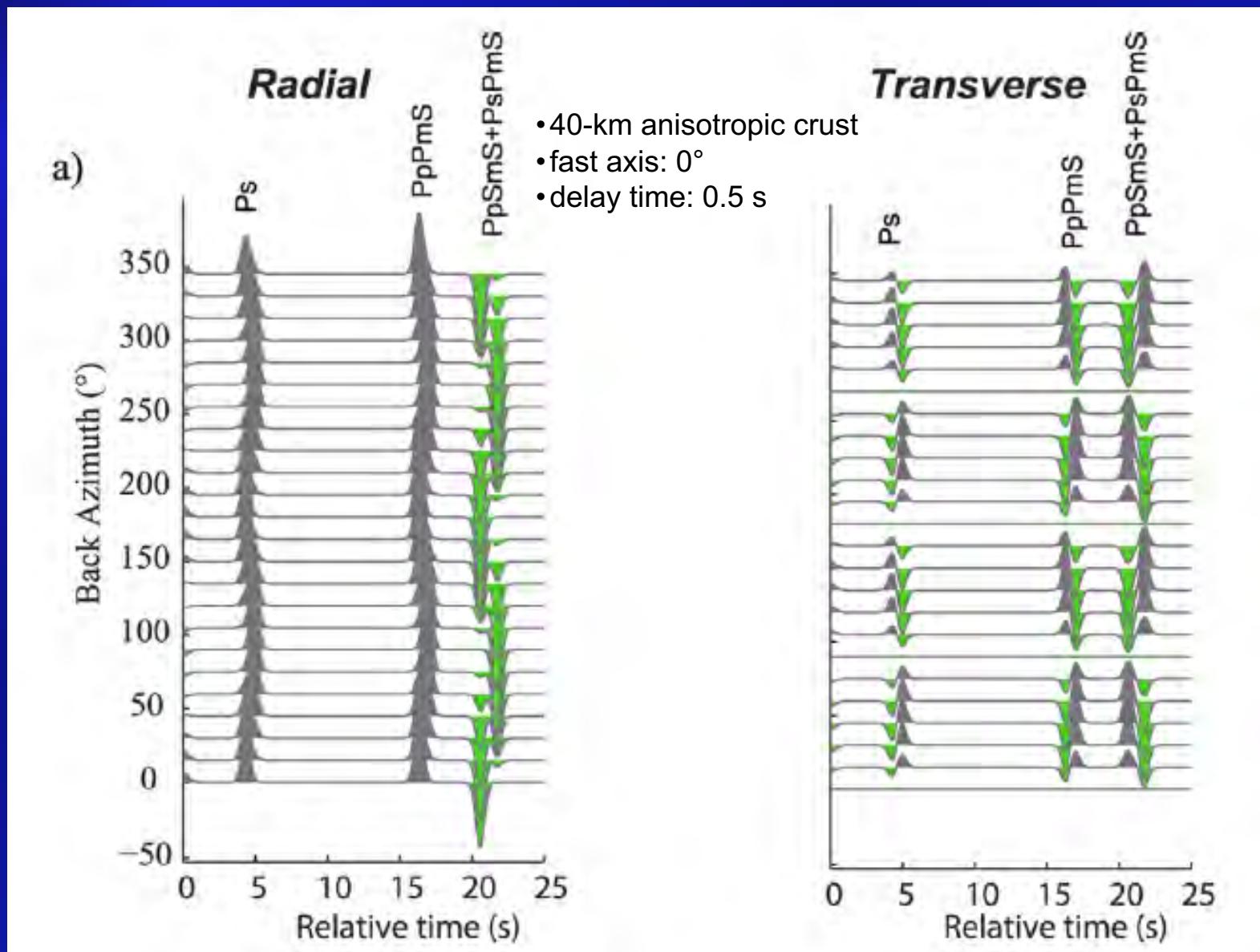


the transverse component vanishes in isotropic media

# Azimuthal variations of receiver functions

## - anisotropic crust -

(Rümpker et al., 2014)

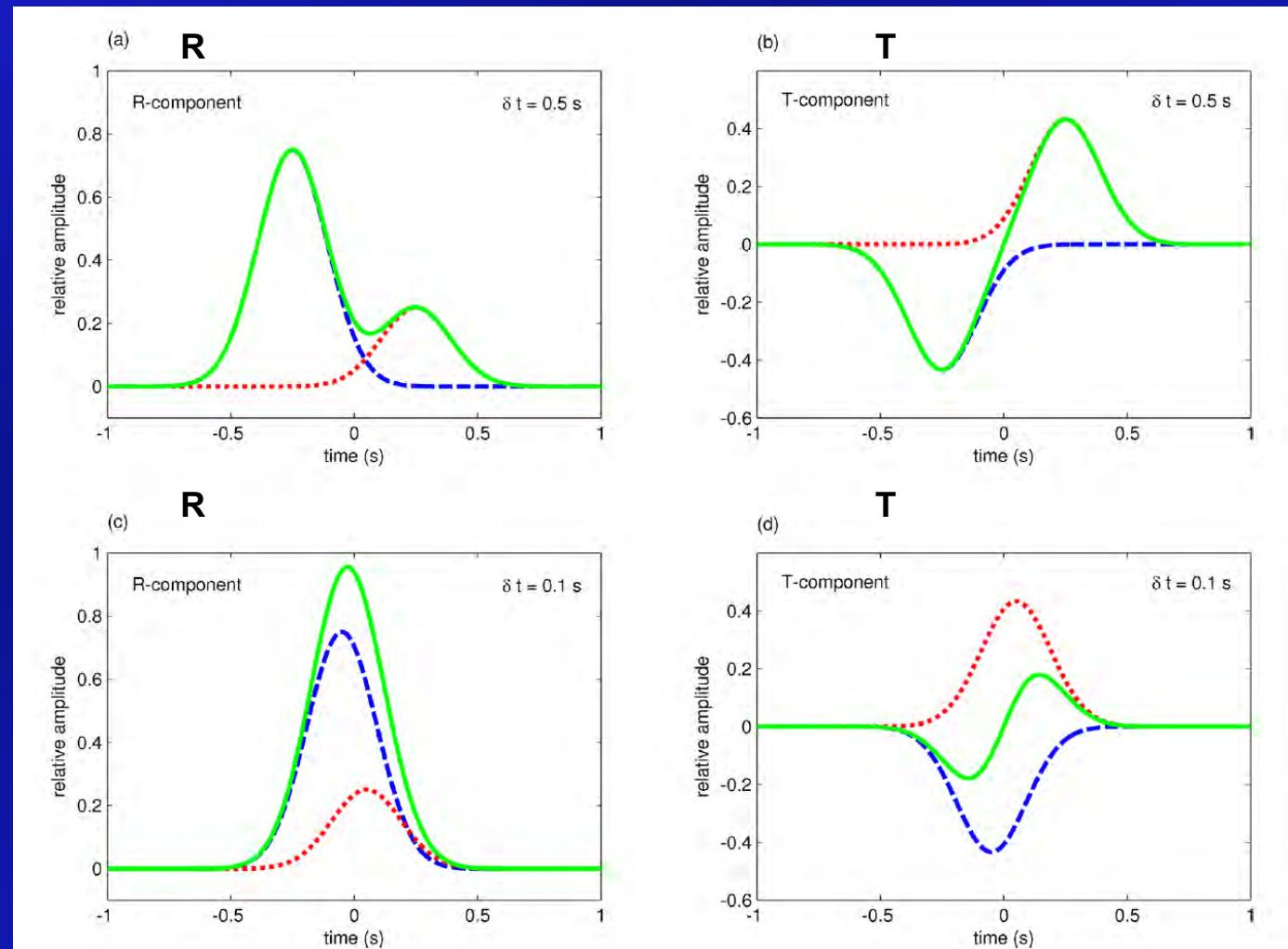


# Radial and transverse Ps waveforms

strong  
anisotropy  
 $> 5\%$

moderate  
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 $< 5\%$

Fast, **slow**, and **effective** phases



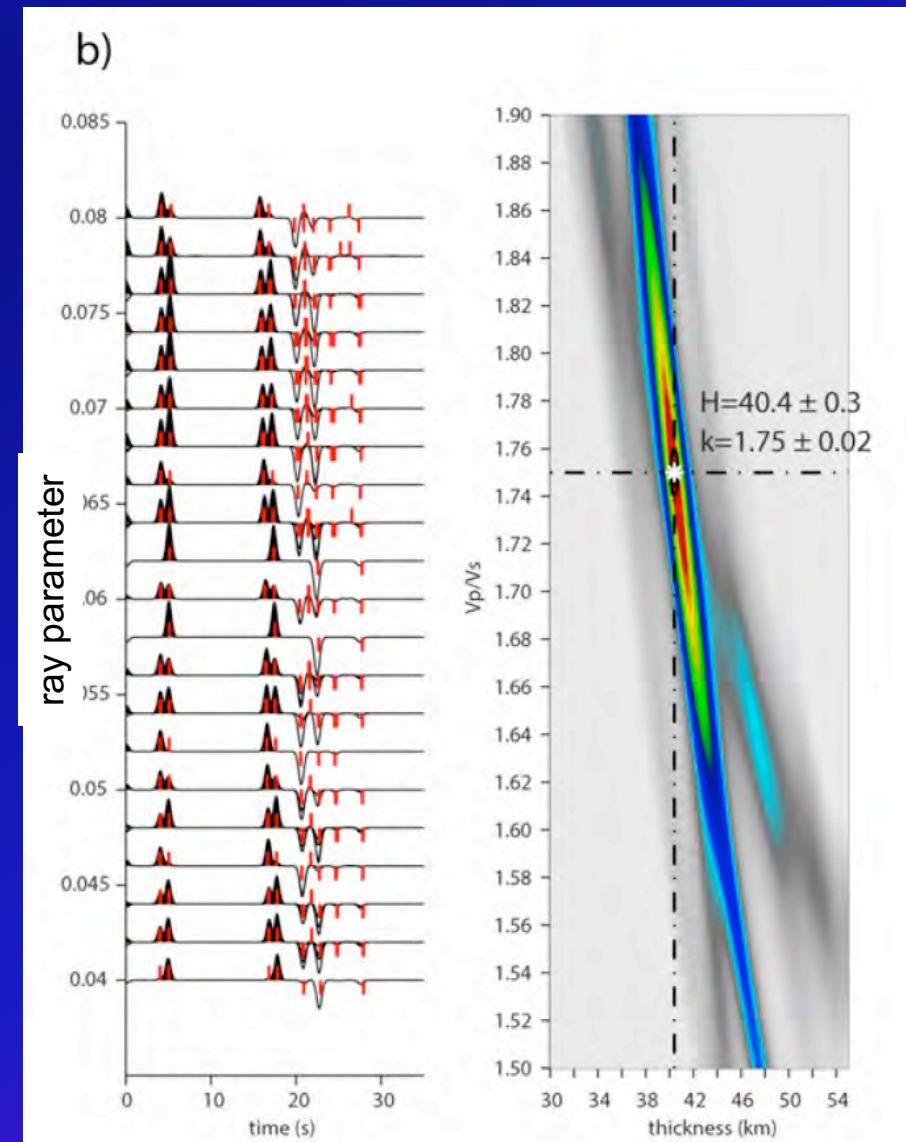
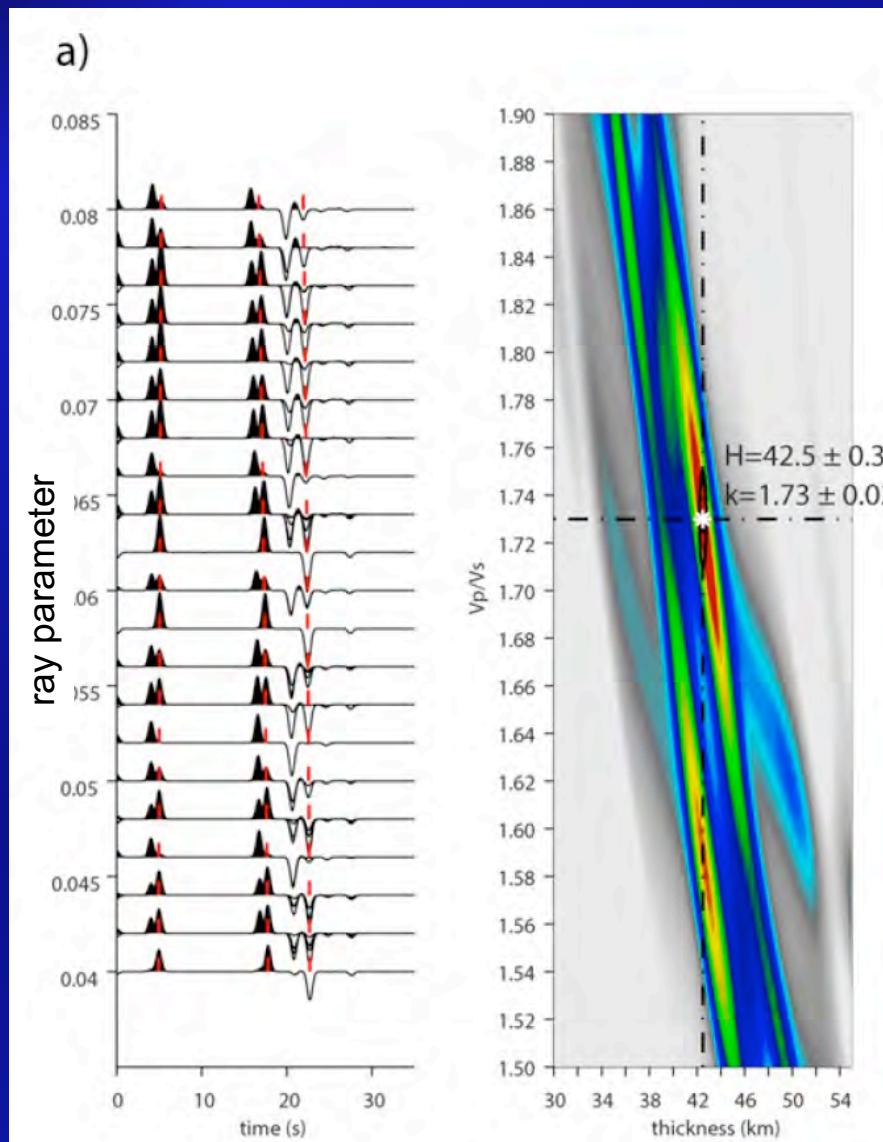
the transverse component vanishes in isotropic media

# H - k stacking procedure

## (a) isotropic vs. (b) anisotropic version

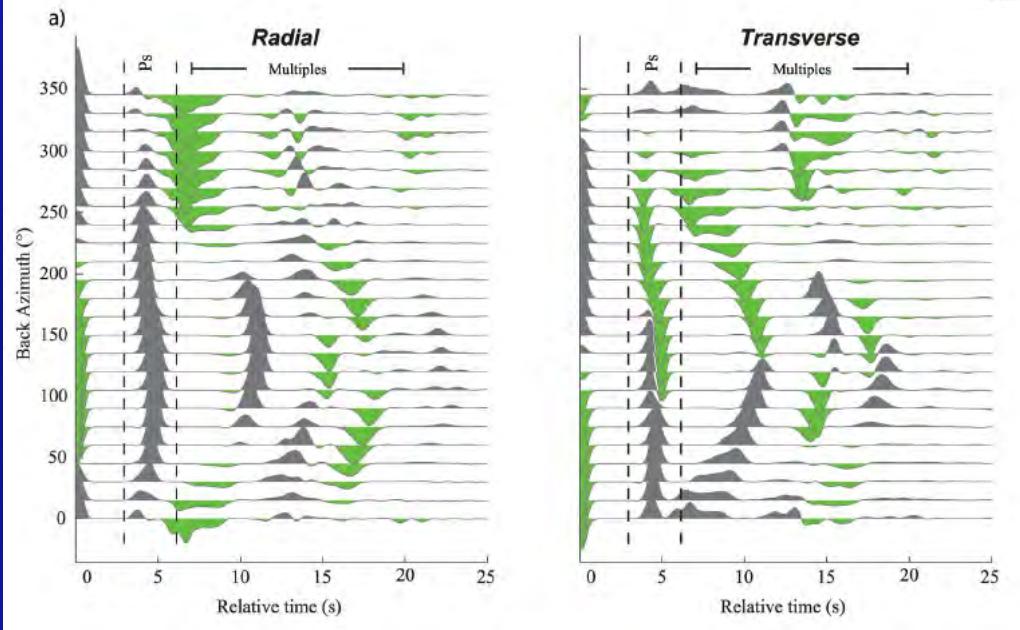
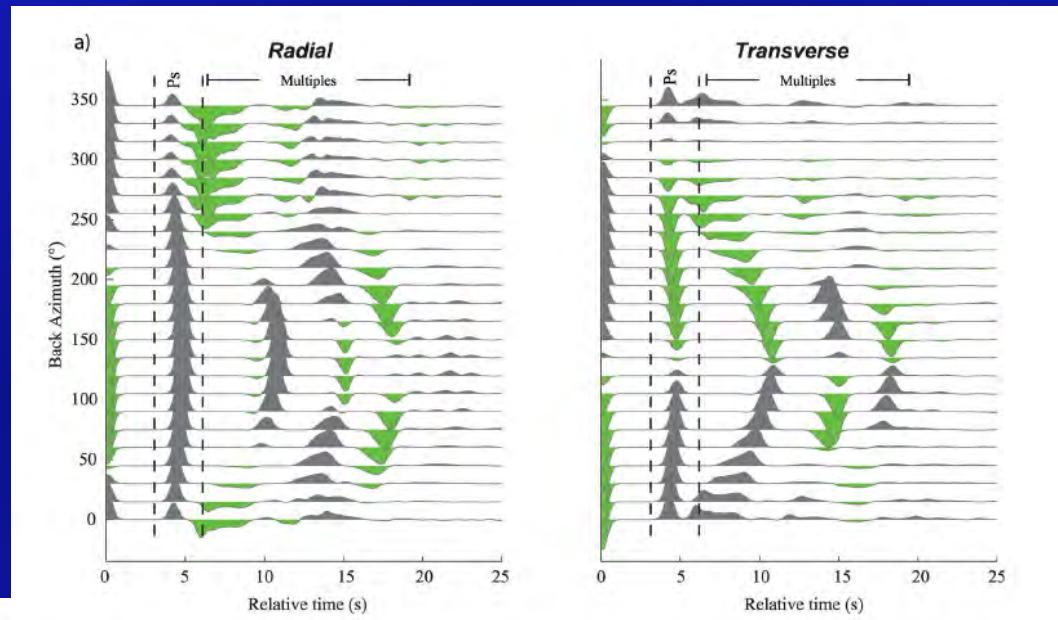
8% anisotropy

(Kaviani & Rümpker 2015)



# Azimuthal variations of receiver functions - dipping Moho

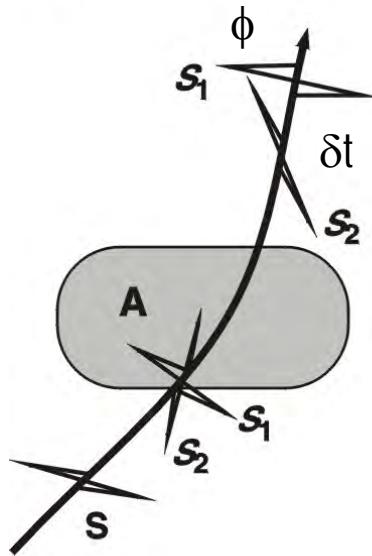
- isotropic crust



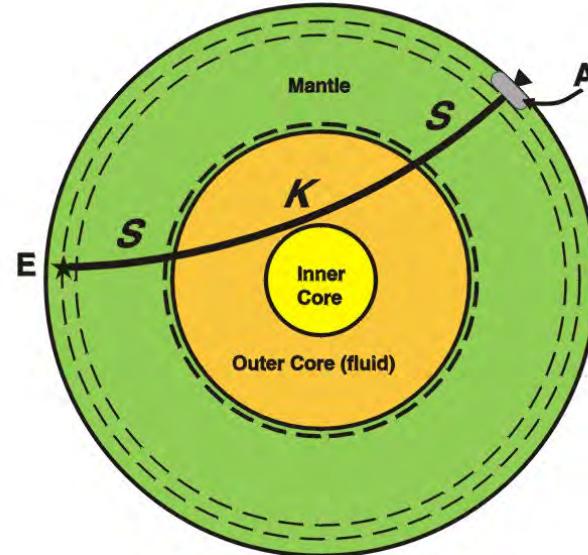
- anisotropic crust

# Seismic anisotropy and teleseismic shear-wave splitting analysis

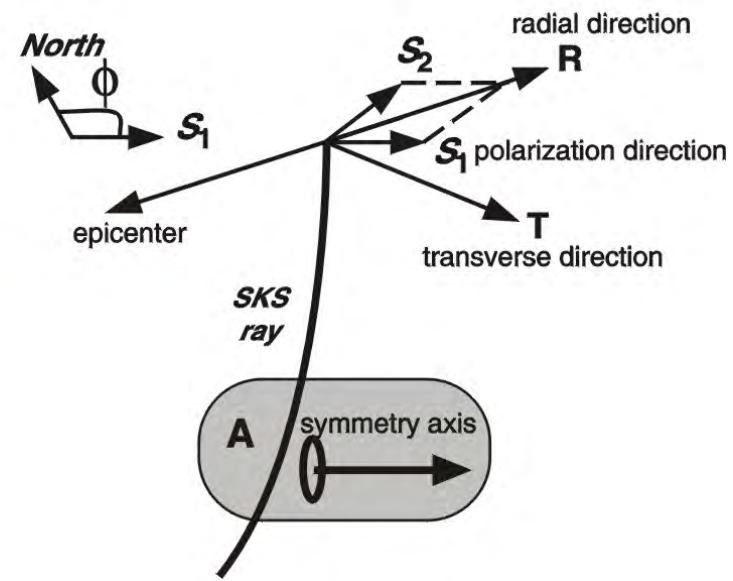
(a)



(b)



(c)



Effect of shear-wave splitting -- two splitting parameters:

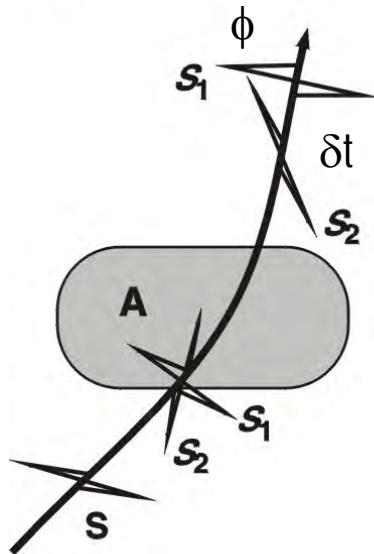
$\phi$ : “Fast-polarization direction”  $\leftrightarrow$  crystal orientation

(e.g. a-axis of olivine in the mantle)

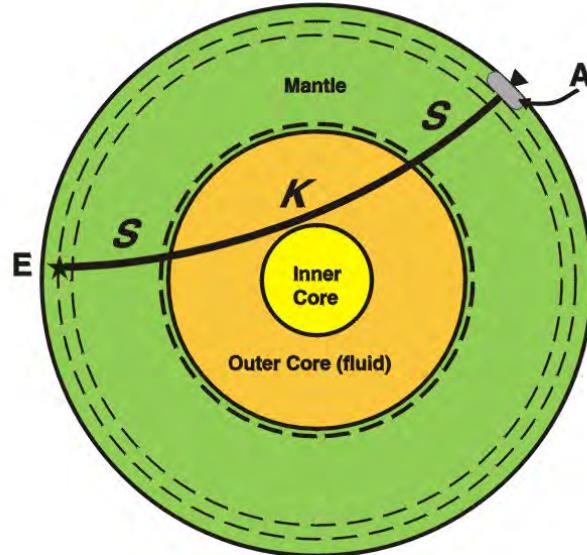
$\delta t$ : “delay time”  $\leftrightarrow$  extent/strength of anisotropy

# Anisotropy and XKS-phases

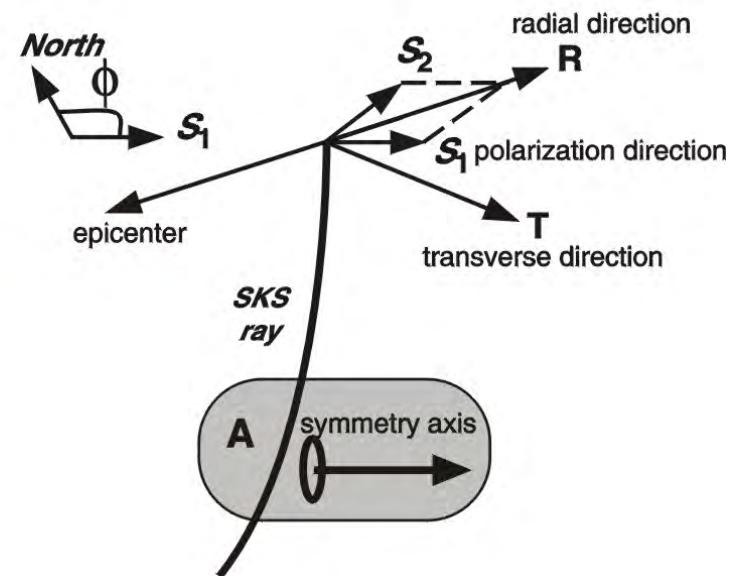
(a)



(b)



(c)



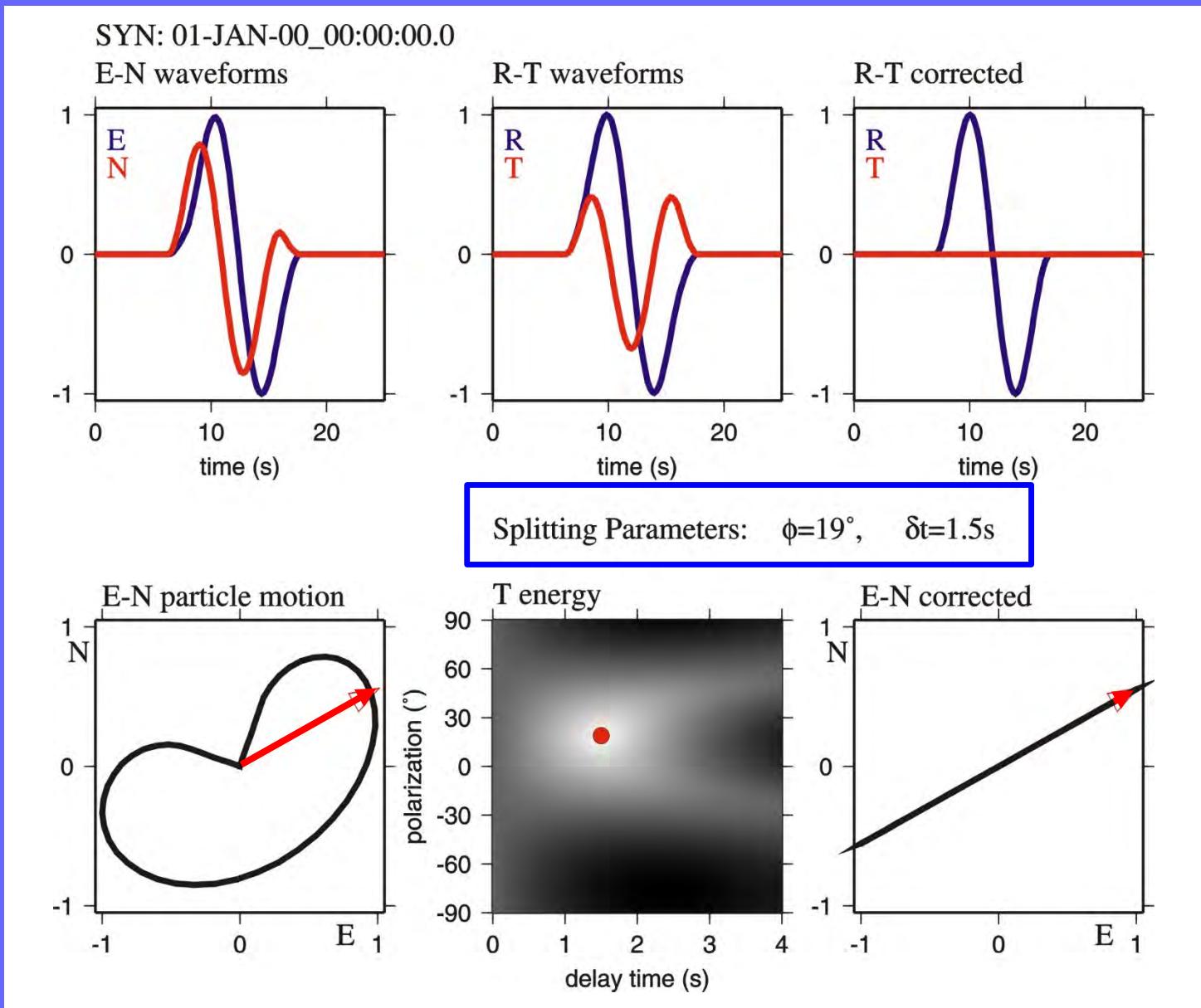
**Isotropic Earth and  $V=V(z)$ :**

SKS, XKS phases are purely radially polarized (linear particle motion)

**Anisotropic Earth:**

XKS phases exhibit transverse component

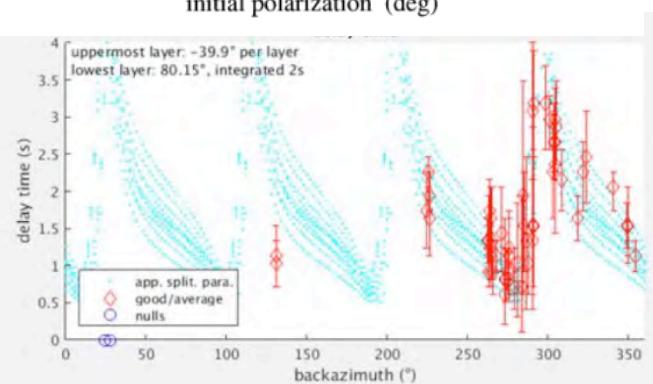
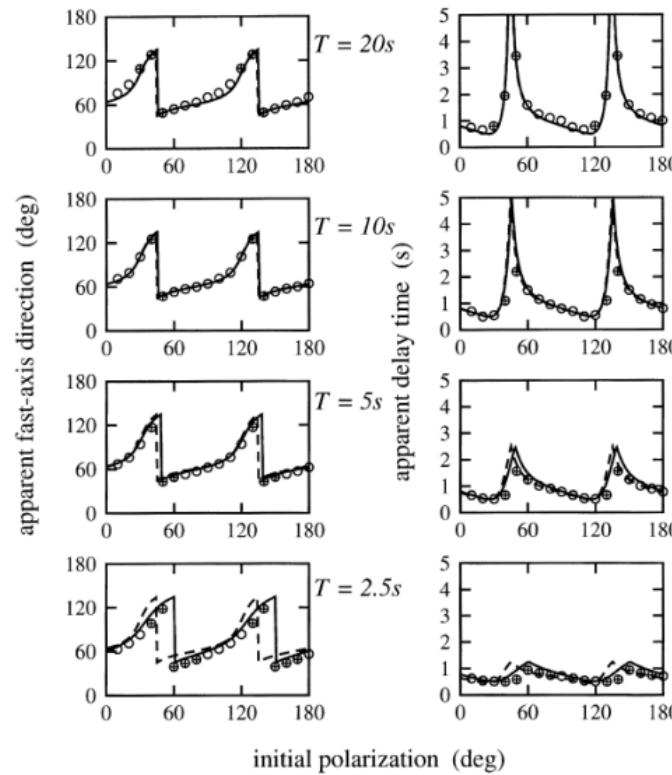
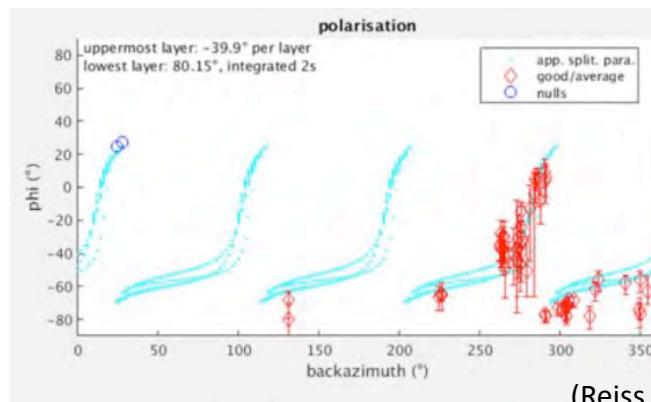
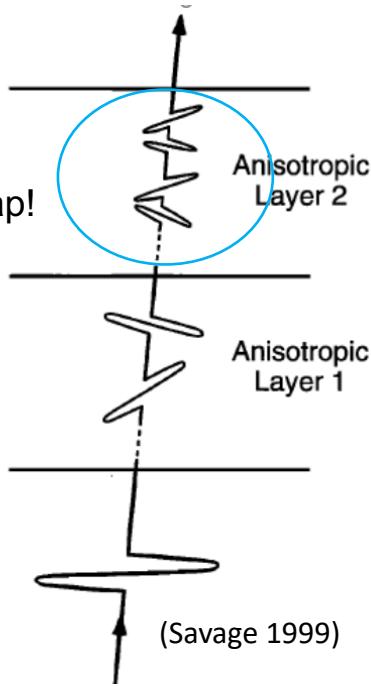
# SKS splitting analysis: synthetic example



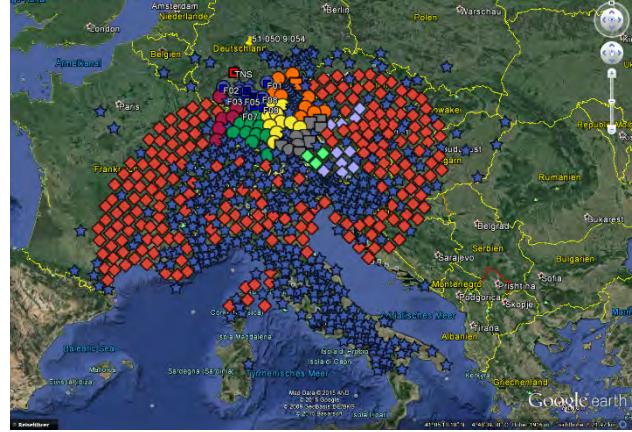
## 2 and N-layer splitting parameters

- “apparent” parameters vary as function of backazimuth and frequency

in reality:  
phases overlap!



# SplitRacer – code: Shear-wave splitting analysis and interpretation



Download Data  
(or import)



Pre-Processing  
(automatic & visual)



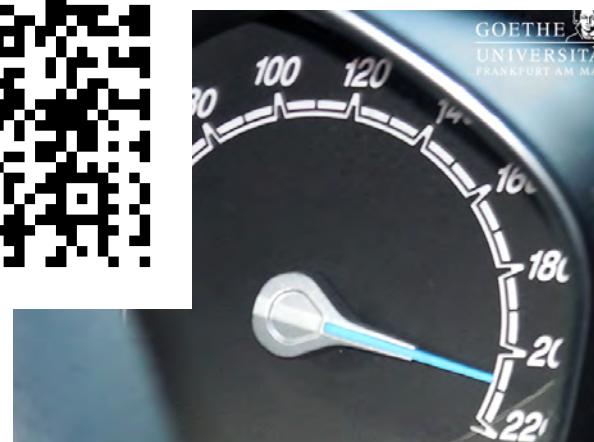
Splitting Analysis  
(Single & Multi-event)

## Reference & Program Download

Miriam Christina Reiss & Georg Rümpker (2017)

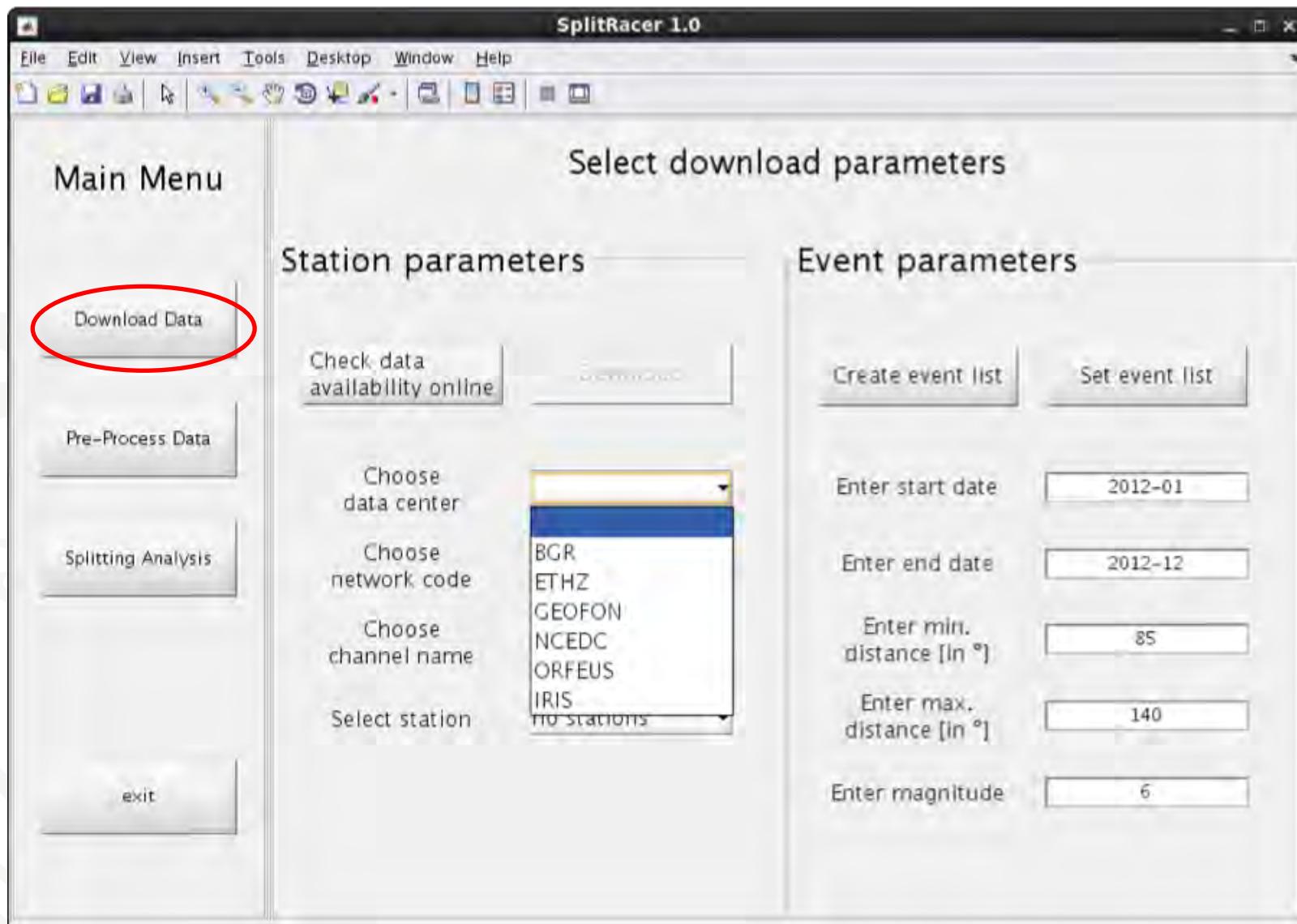
[SplitRacer: MATLAB Code and GUI for Semiautomated Analysis and Interpretation of Teleseismic Shear-Wave Splitting](#), Seismological Research Letters, v. 88, i. 2A, p. 392-409, doi:10.1785/0220160191.

<http://www.geophysik.uni-frankfurt.de/64002762/Software>



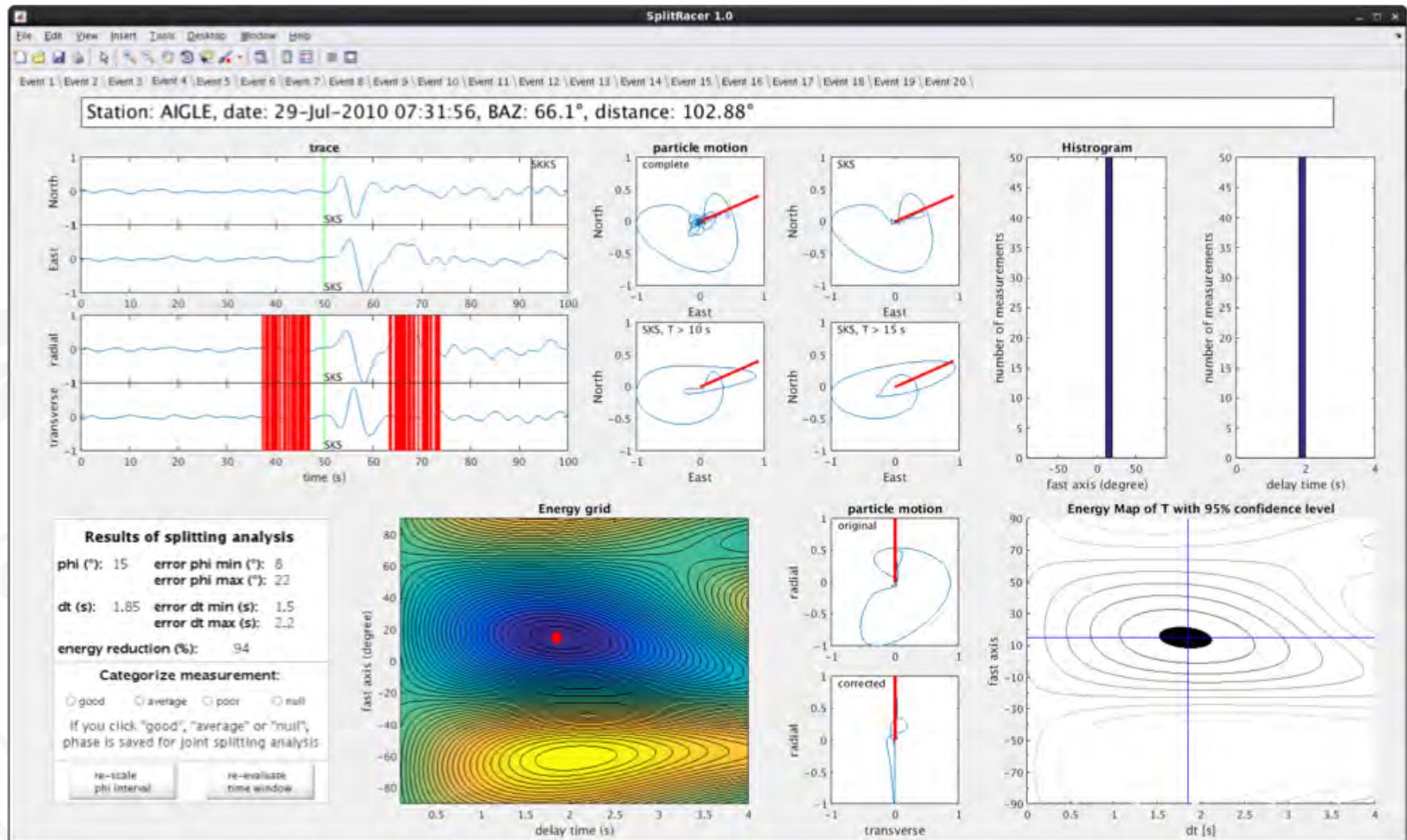
# Main Menu - Download Data

- Select stations and earthquakes

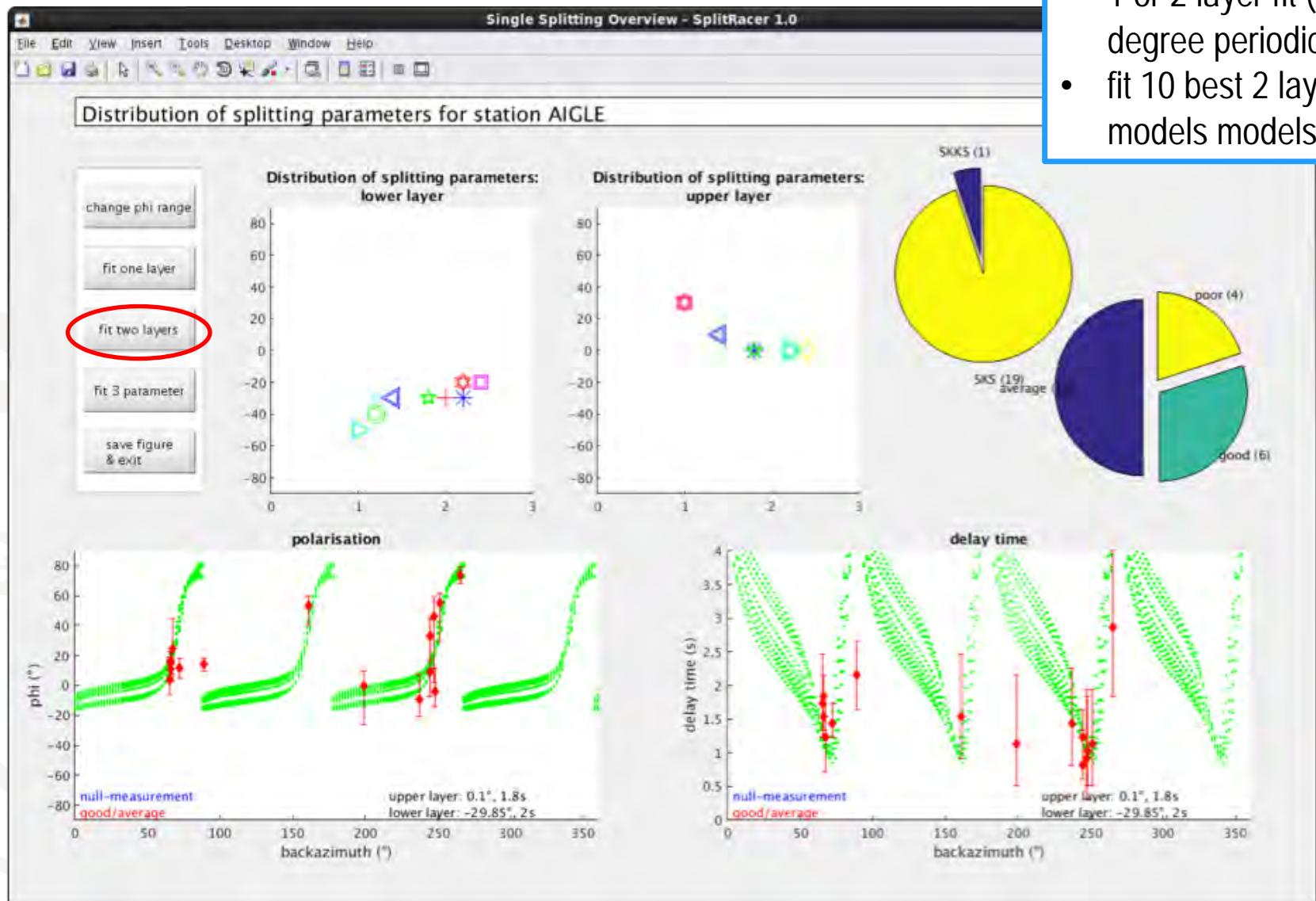


# Splitting Analysis – Single event

for all events at 1 station

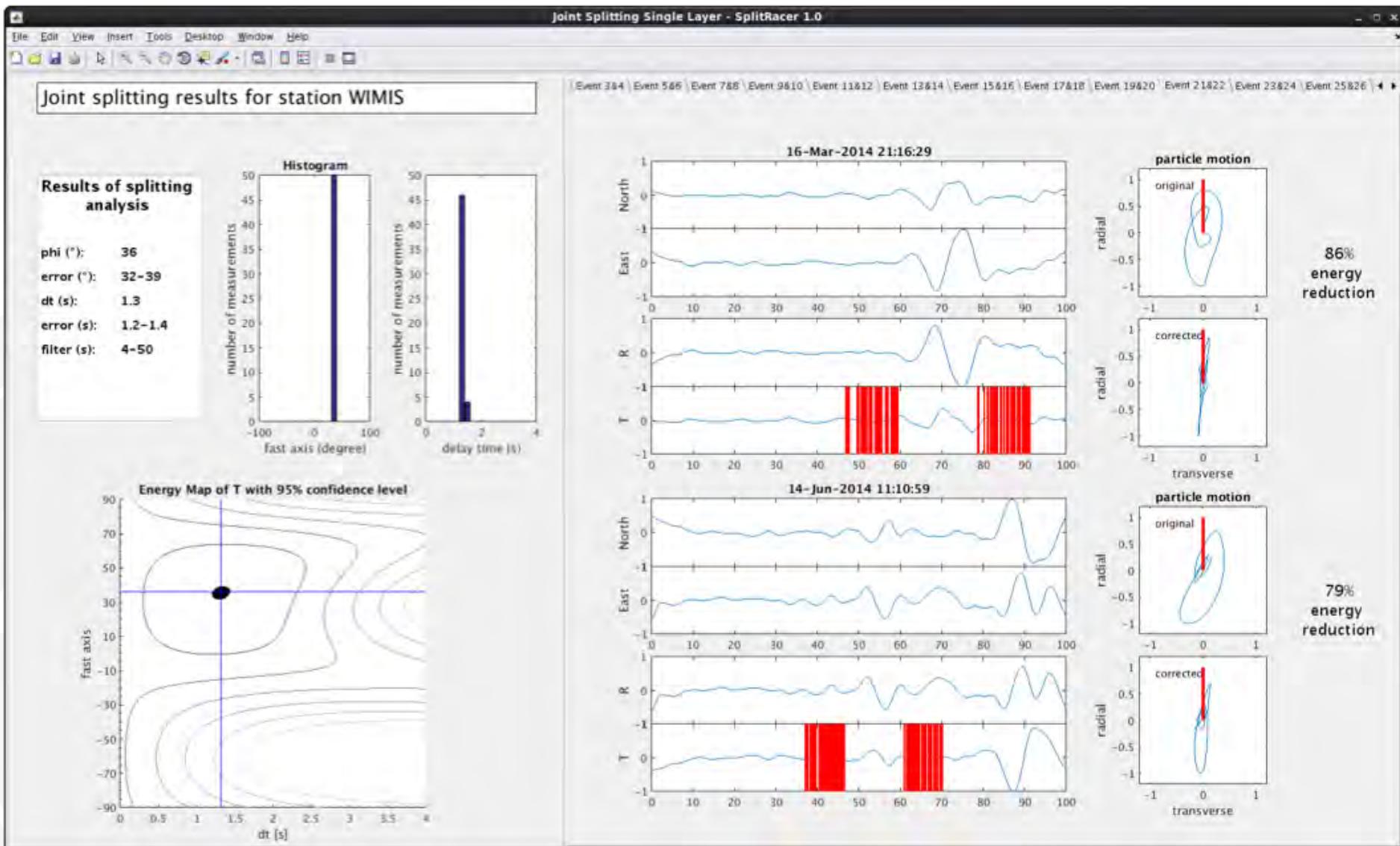


# Interpretation – 1, 2 layers, continuous variations



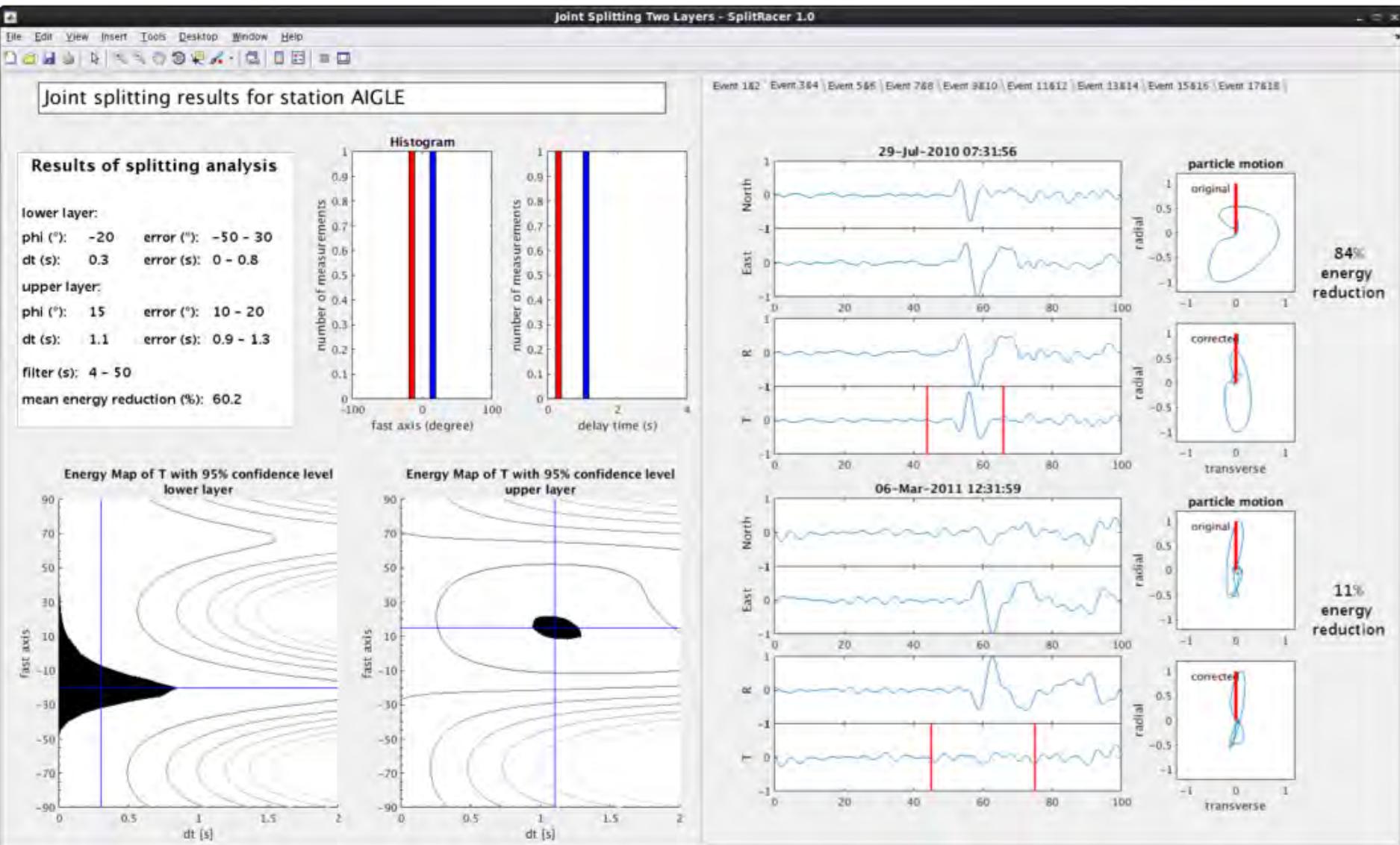
# Joint-Splitting Analysis – Single Layer

- minimize transverse components for all events

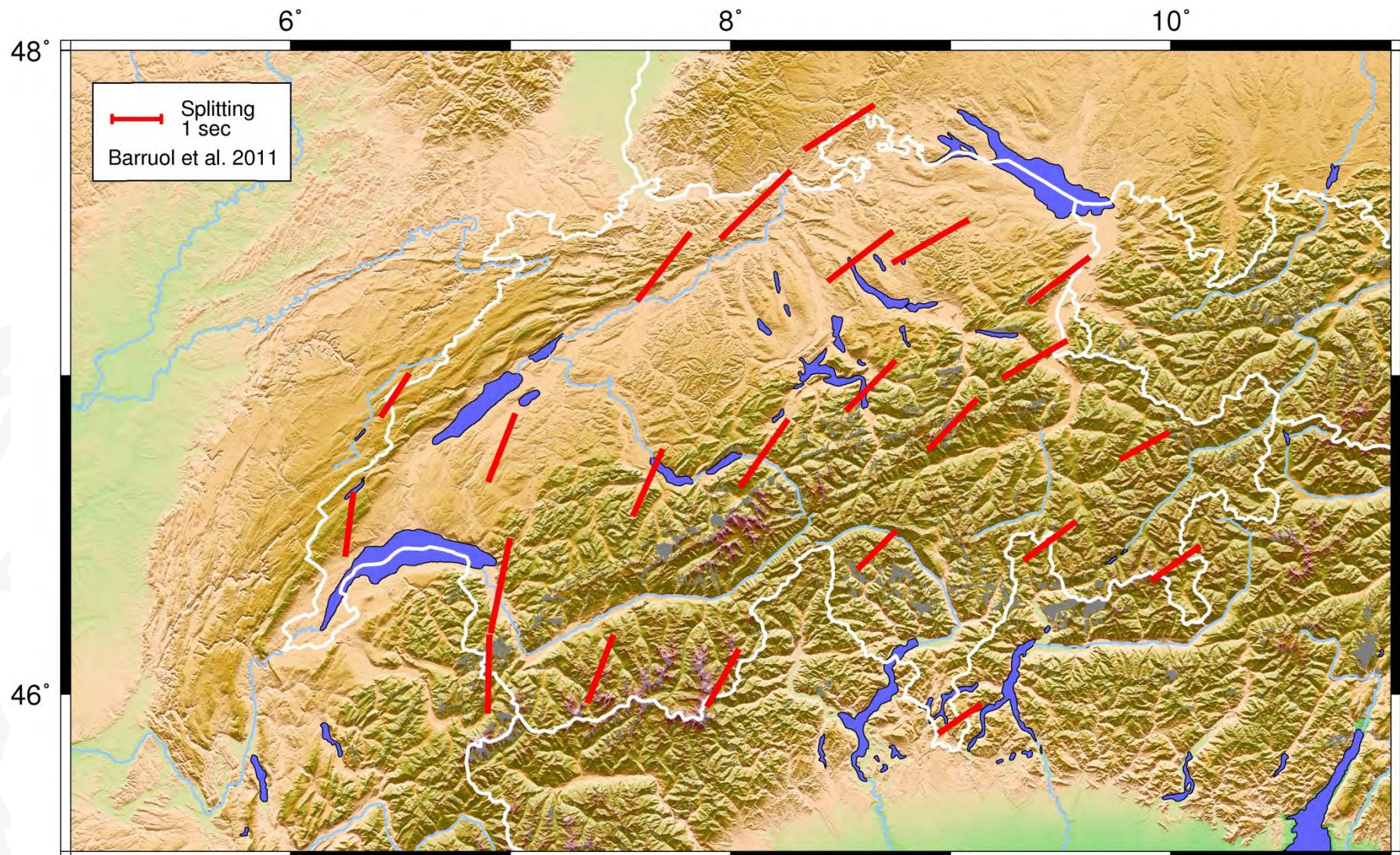


# Joint-splitting analysis – 2 layers

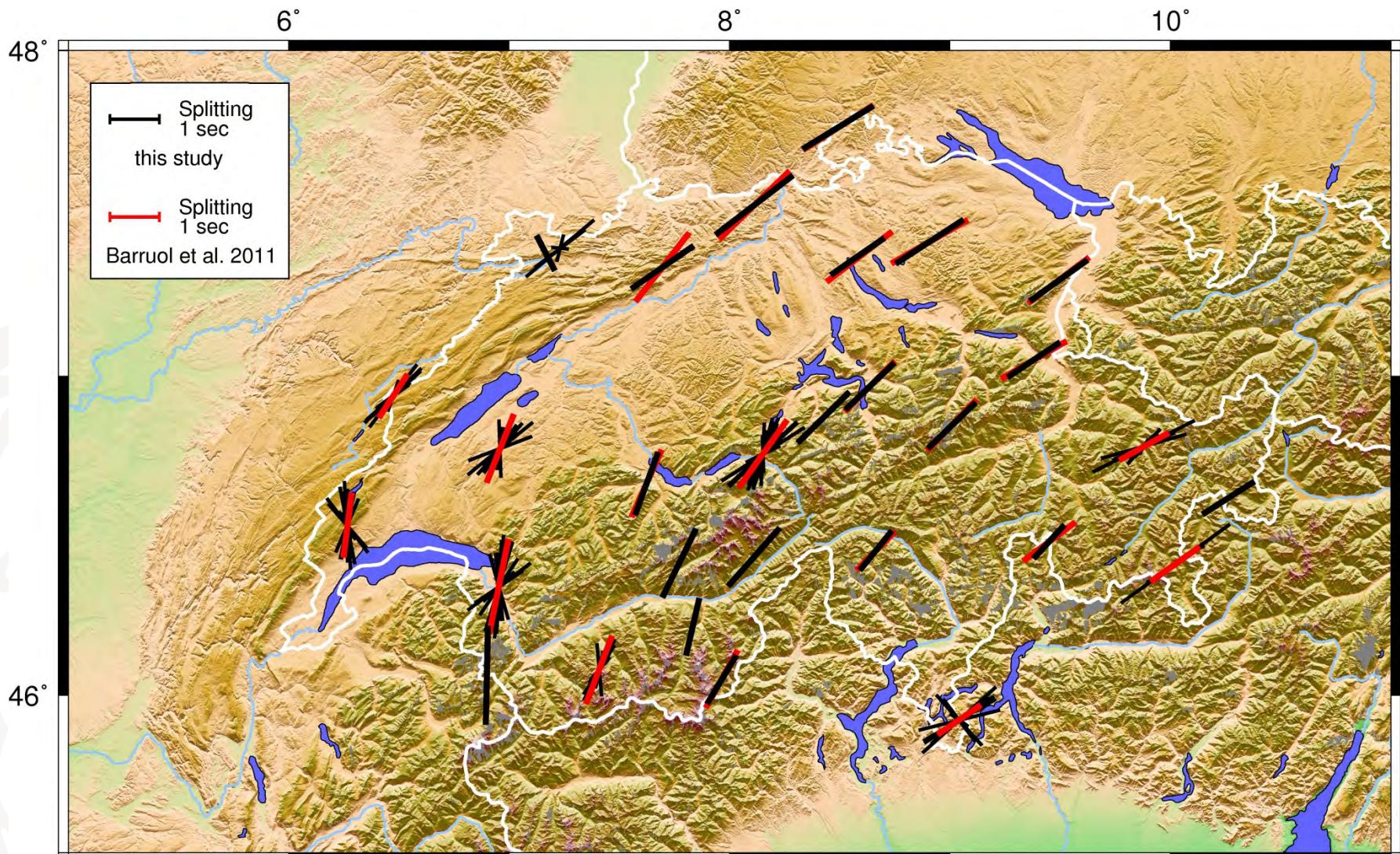
- minimize transverse components for all events



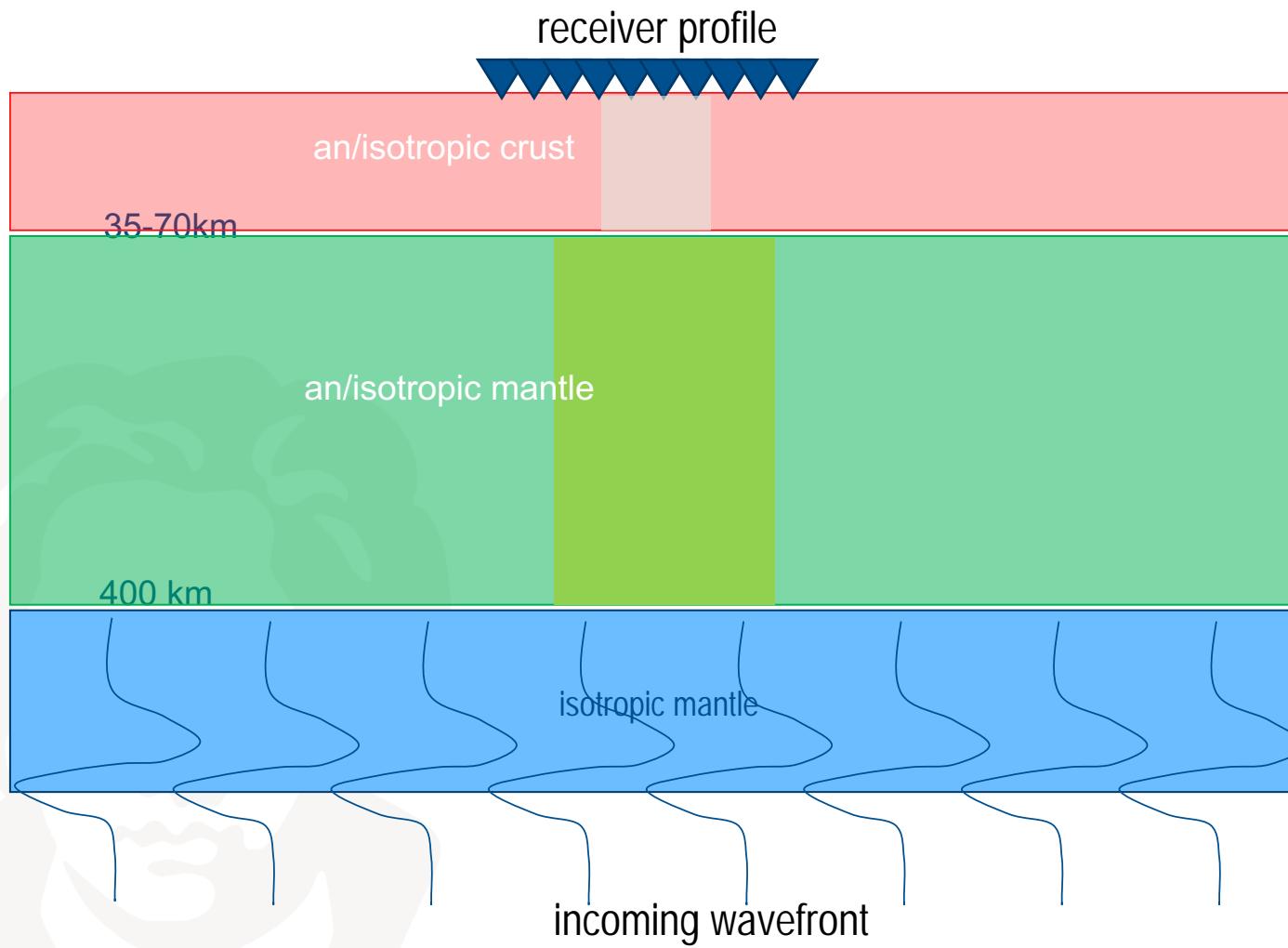
# Test: results for the Swiss permanent network



# Comparison



# Improve interpretation: FD waveform modeling

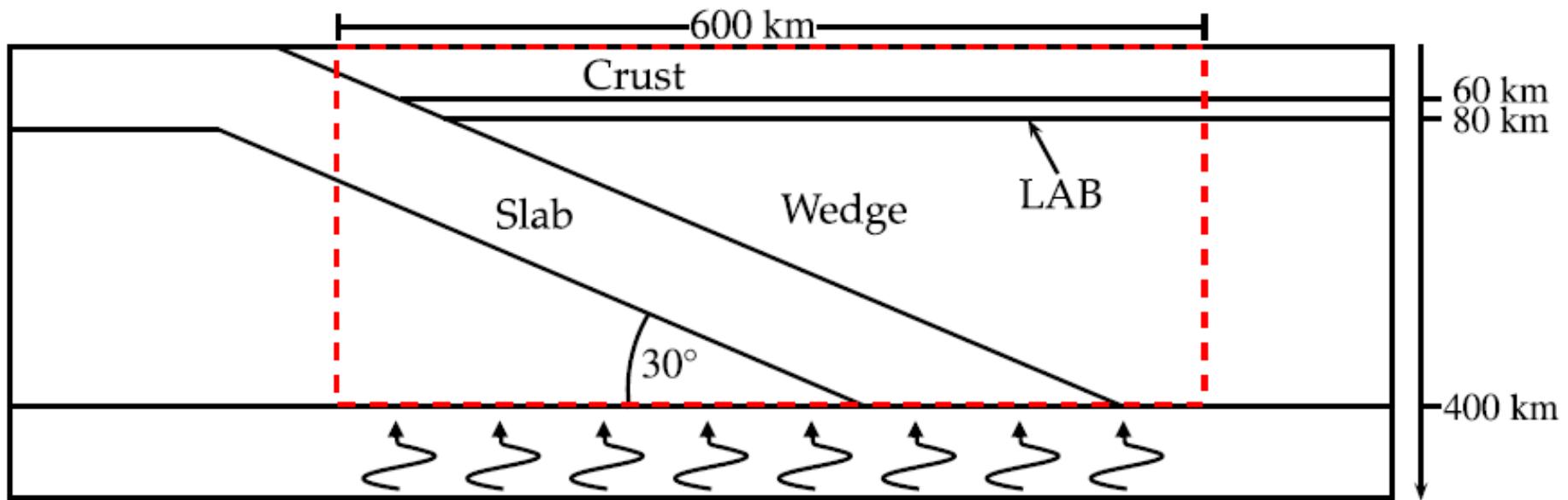


- 2D Finite-Difference modeling
- explicit second-order FD scheme
- plane-wave initial conditions, defined in the isotropic mantle

# Subduction-zone geometry and waveform modeling

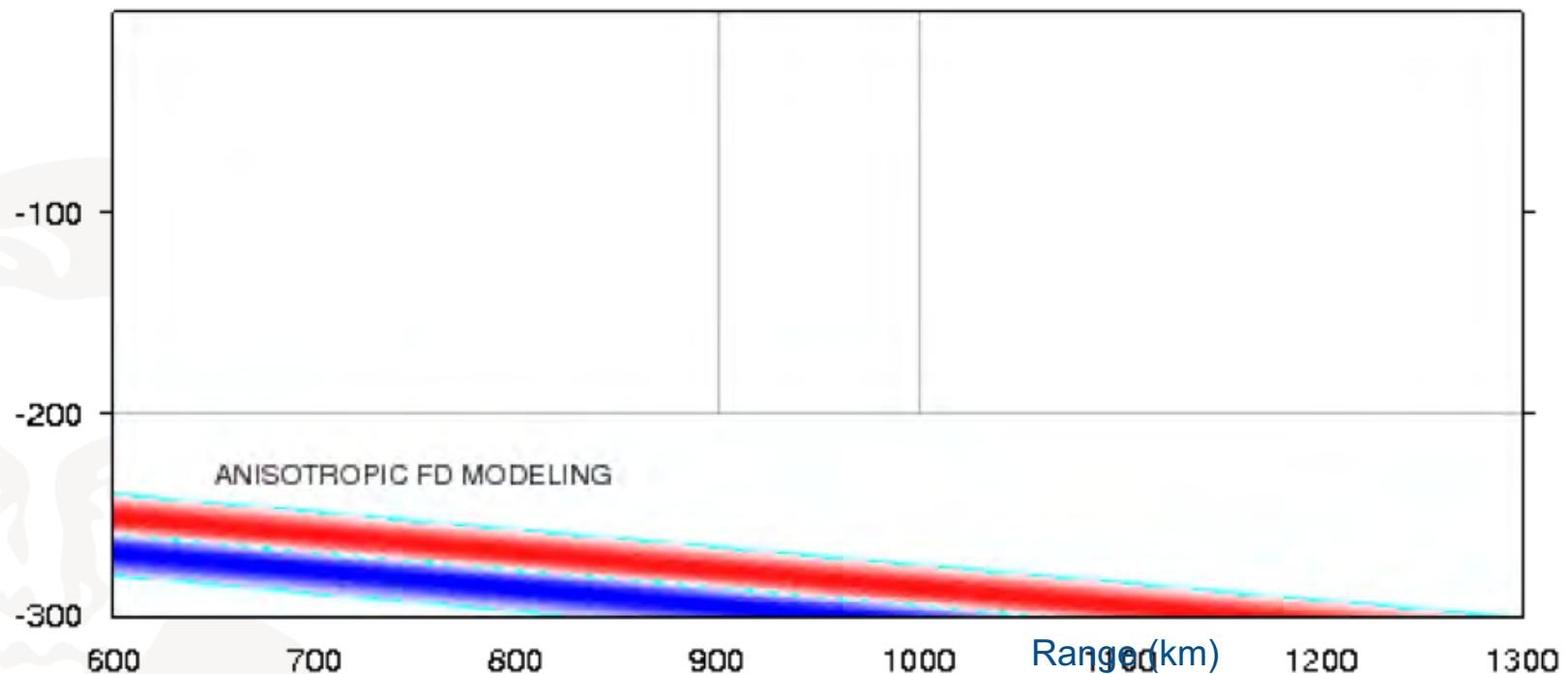
- comparison of synthetic and observed waveform effects

- 2D Finite-difference method
- anisotropic elastic tensor
- finite-frequency effects



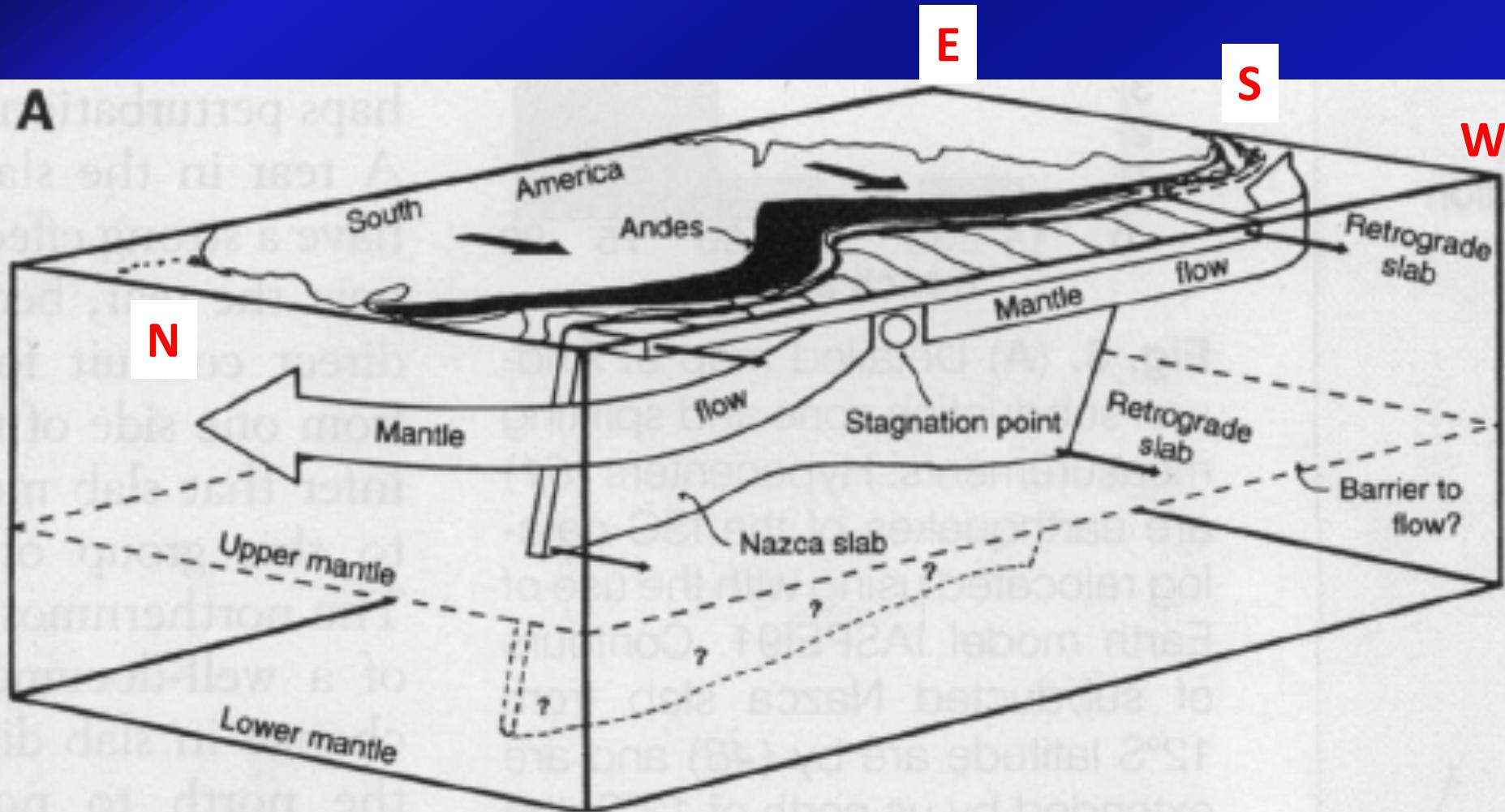
# FD waveform modeling

displacement in  $X_1$ -direction; period: 10 s

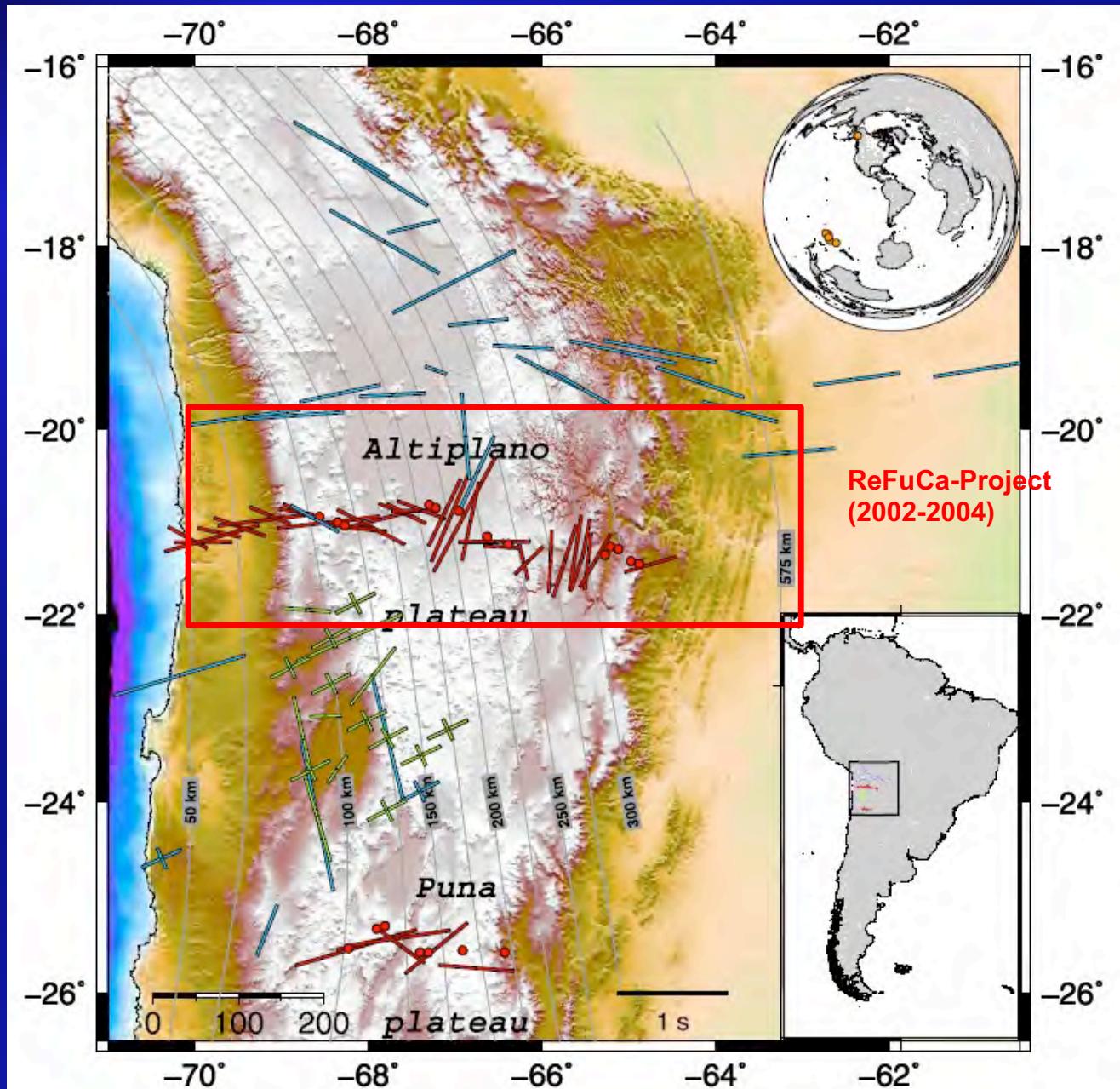


# Case studies from South America

- Trench-parallel mantle flow (Russo & Silver, 1994)



# SKS-splitting measurements from the Altiplano



red: Wölbern, Kees,  
Rümpker, 2014, EPSL

blue: Polet et  
al.(2000)

green: Bock et al.  
(1998)

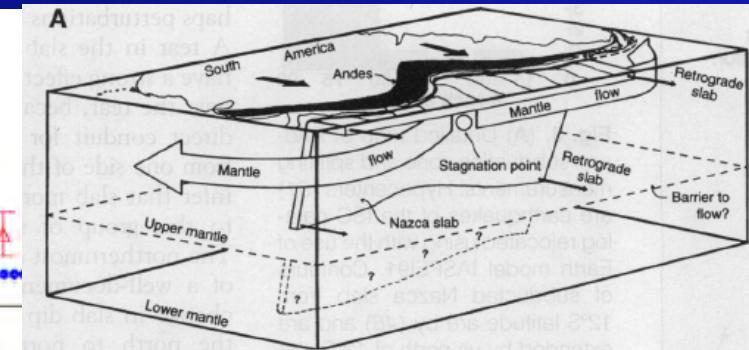
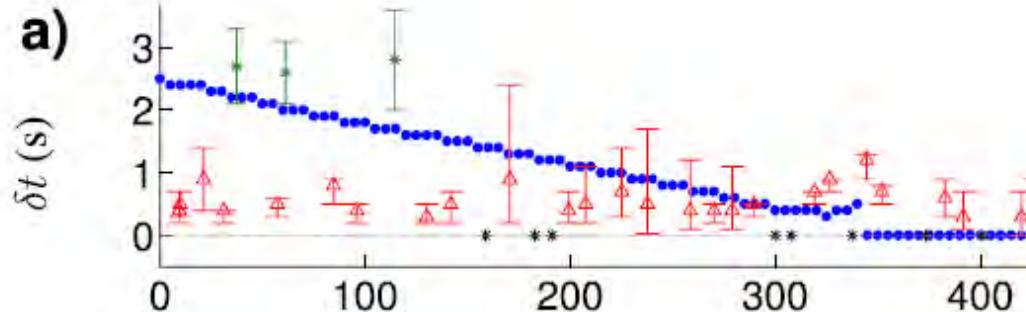
# Waveform modeling of splitting parameters

- sub-slab mantle flow parallel to the trench

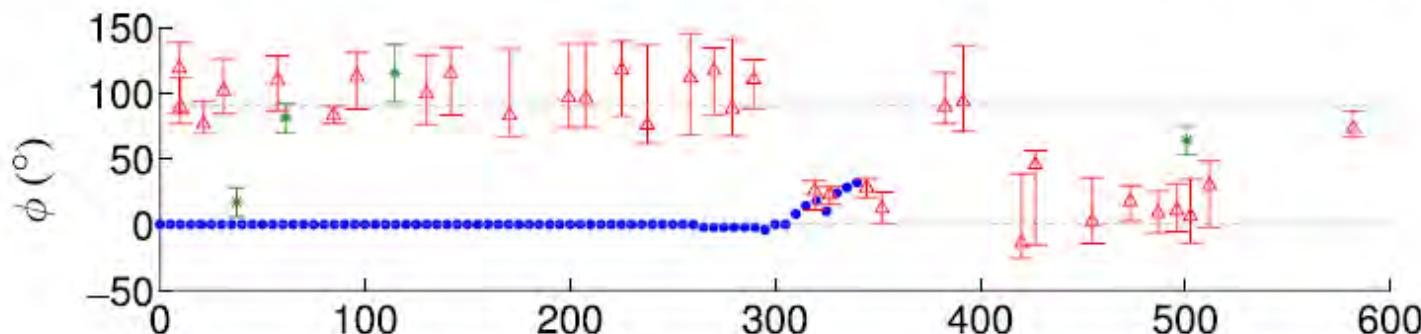
W

E

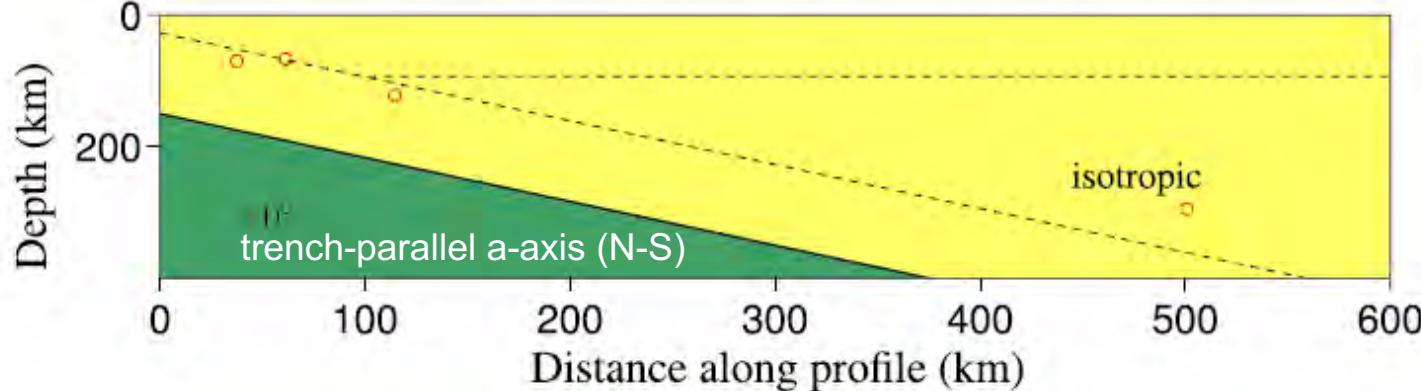
a)



$\phi$  (°)



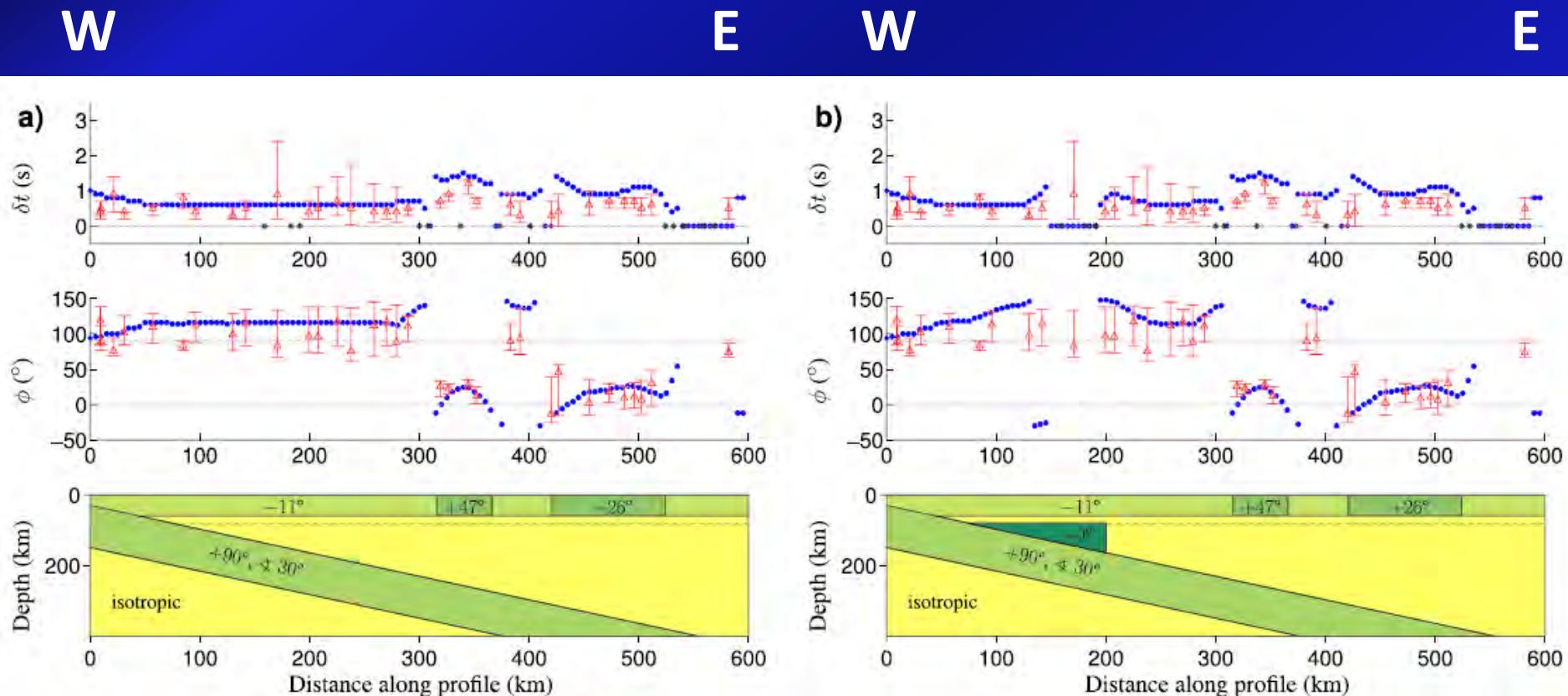
Depth (km)



(Russo & Silver 1994)

# Waveform modeling of splitting parameters

- trench-perpendicular intra-slab anisotropy  
+ trench-parallel crustal anisotropy



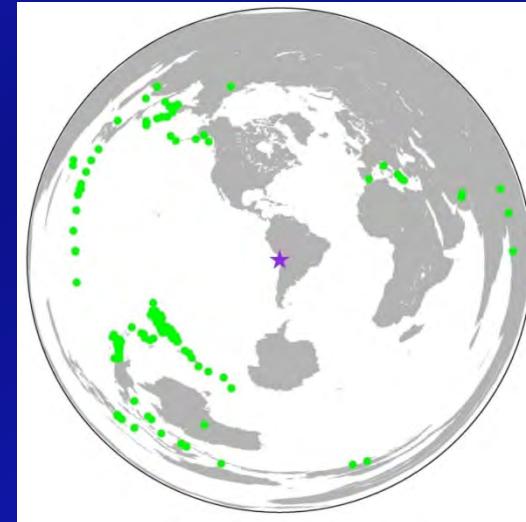
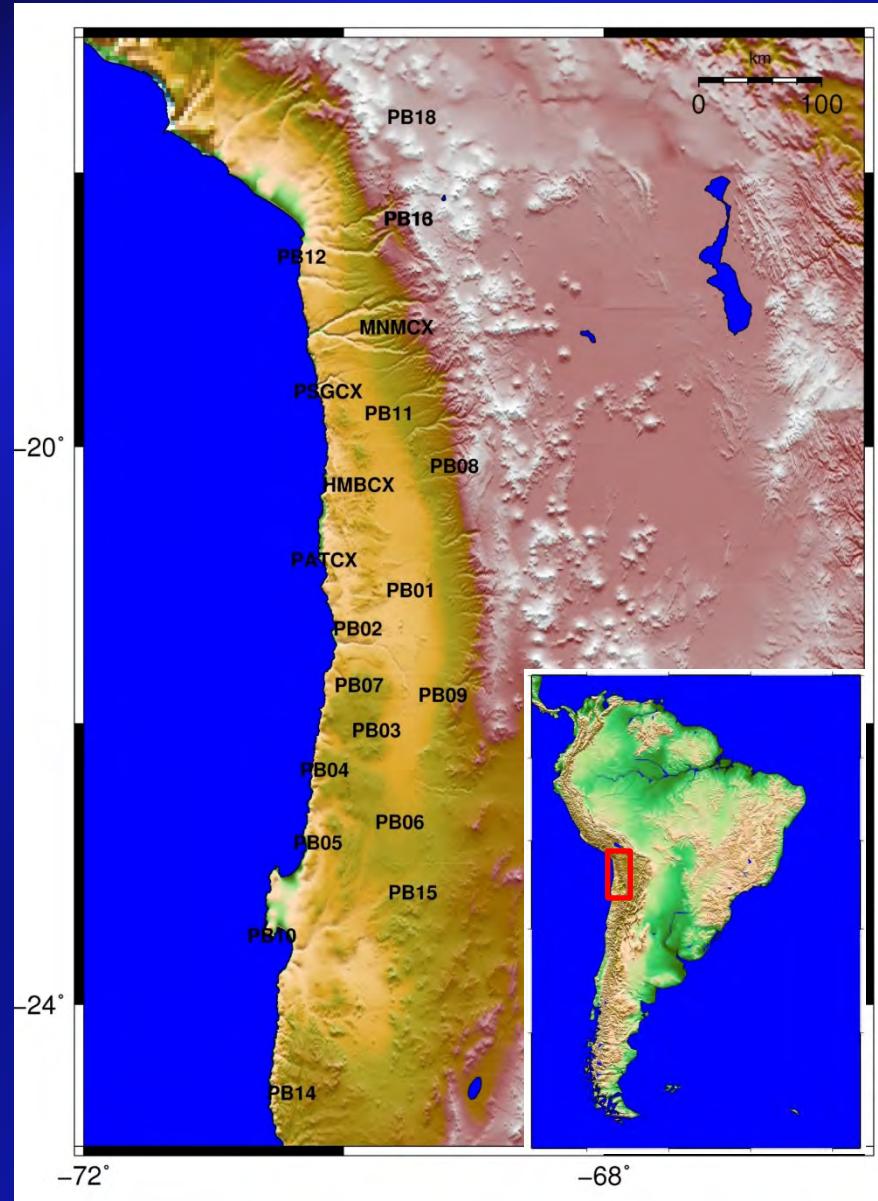
B-type olivine under water-rich conditions  
(Jung et al., 2009; Ohuchi et al., 2012)

possible source of crustal anisotropy:

- ductile flow in the lower crust (Gerbault et al., 2005)
- fluid-filled cracks within the Altiplano-Puna volcanic zone (Leidig & Zandt, 2003)

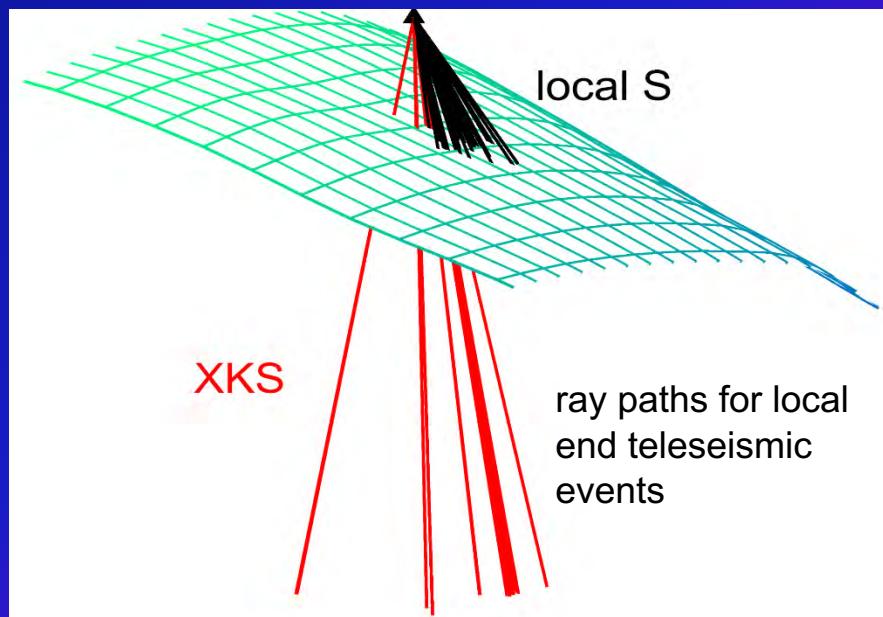
# Large-scale trench-perpendicular mantle flow beneath northern Chile

IPOC network



event distribution

(Reiss, Rümpker,  
Wölbern 2018,  
EPSL)



XKS

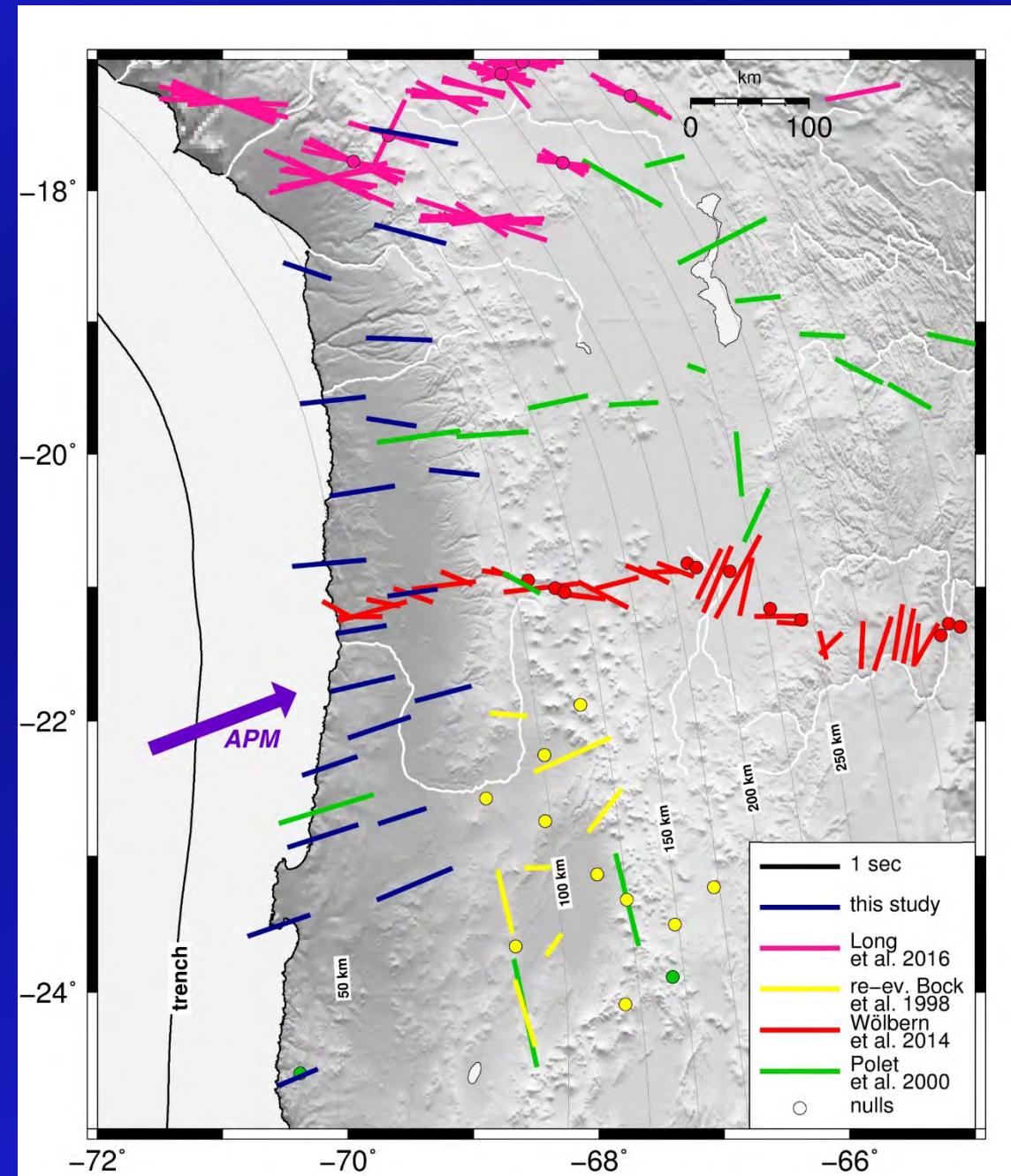
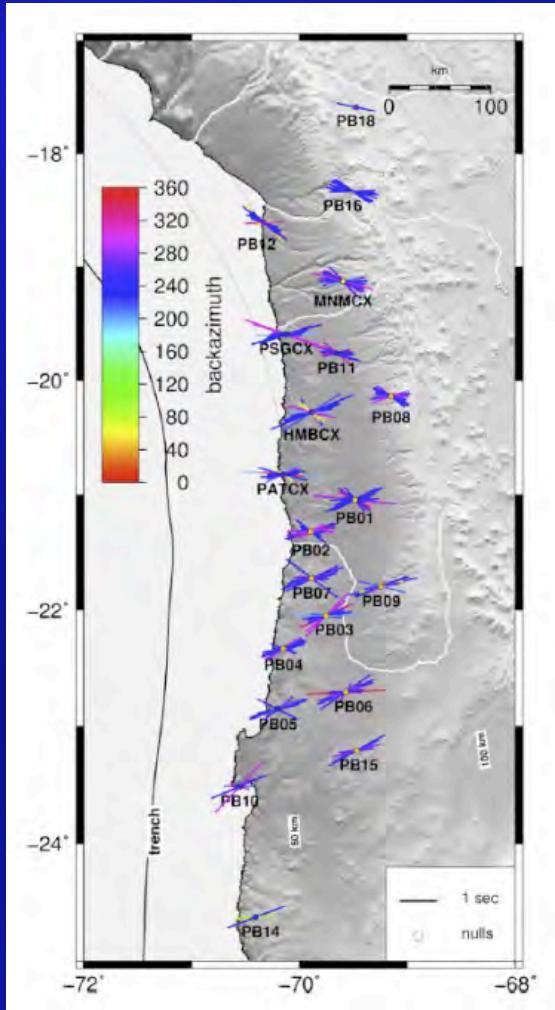
local S

ray paths for local  
and teleseismic  
events

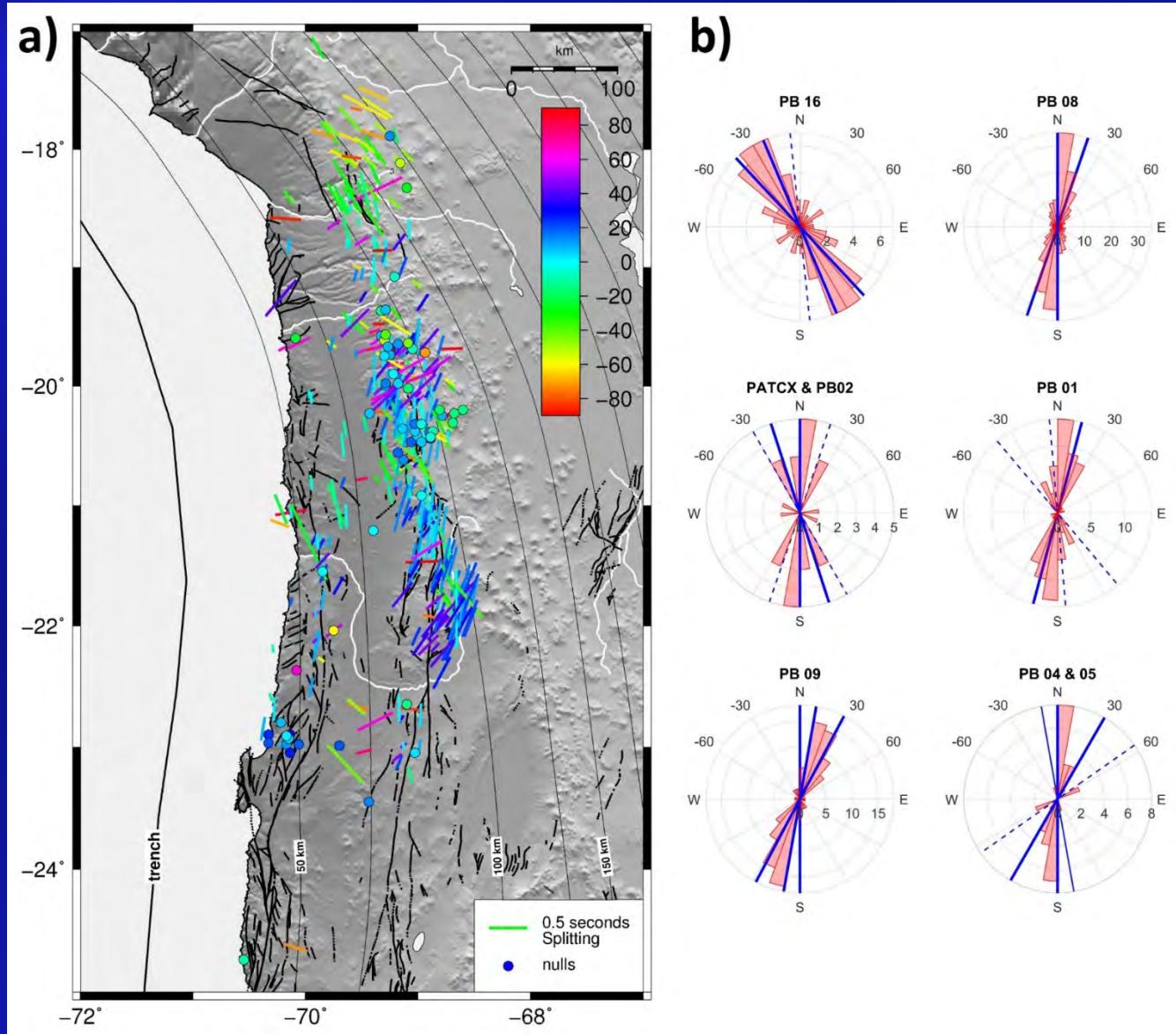
## Summary

# Splitting parameters obtained from teleseismic events

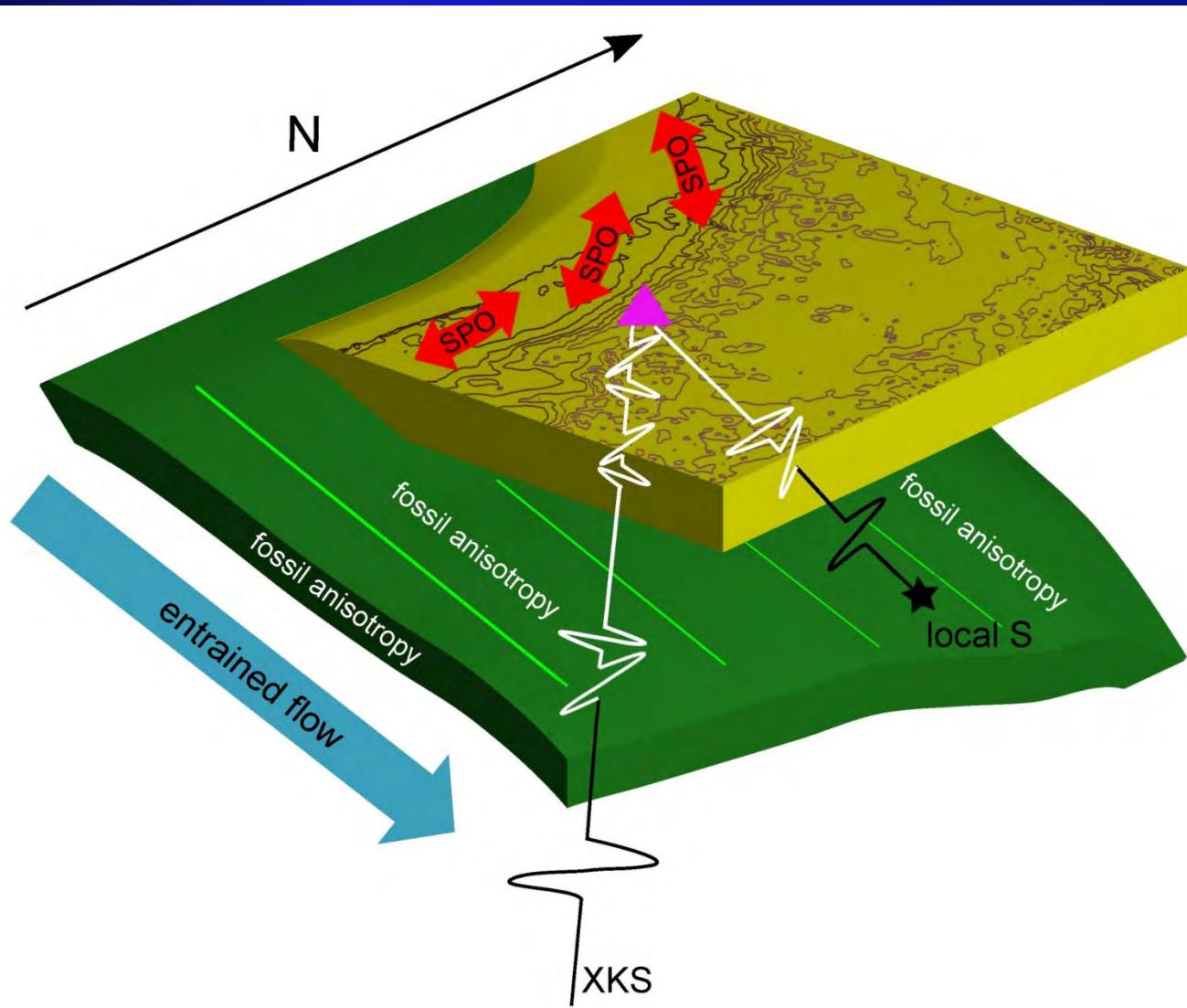
IPOC



# Splitting parameters from local events and fault-zone orientations



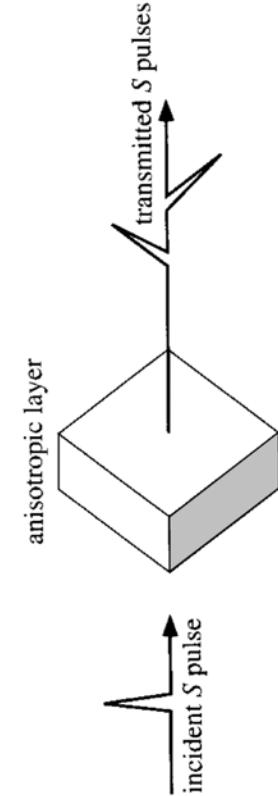
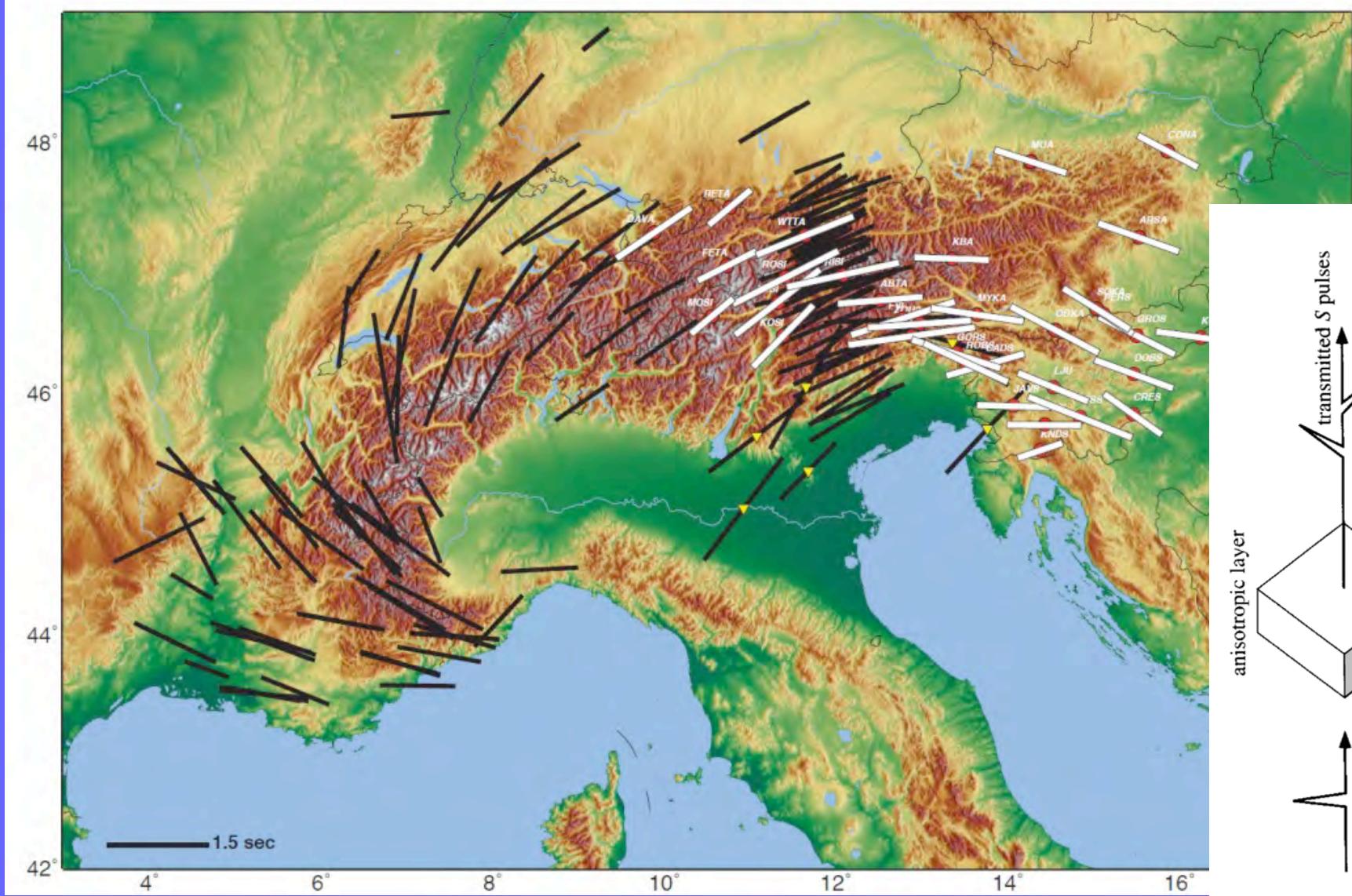
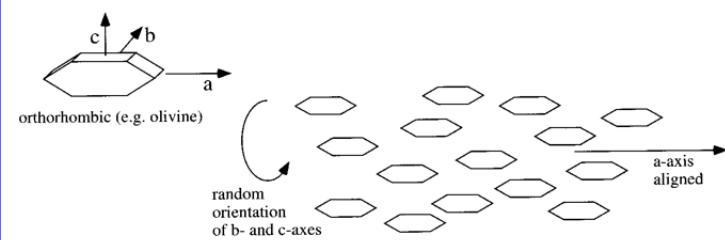
# Model of anisotropy beneath the central South America



- Crust: Shape-preferred orientation (SPO) related to locally varying faults in response to the strain through crustal shortening.
- Mantle: Lattice-preferred orientation (LPO) of olivine crystals caused by fossilized anisotropy (within the slab) from plate formation and possibly entrained mantle flow.
- There is no evidence for trench-parallel flow beneath the subducting Nazca plate as previously suggested

# What about the Alps?

(compilation by Qorbani 2015, Vienna)





# References

- Reiss, M. C., Rümpker, G. & Wölbern, 2018, I., Large-scale trench-normal mantle flow beneath central South America, *Earth Planet. Sci. Lett.*, 482, 115–125, DOI: 10.1016/j.epsl.2017.11.002
- Reiss, M. C. & Rümpker, G., 2017, SplitRacer: MATLAB Code and GUI for semiautomated analysis and interpretation of teleseismic shear-wave splitting, *Seism. Res. Lett.*, 88(2), DOI:10.1785/0220160191.
- Kaviani, A. & Rümpker, G., 2015, Generalization of the H-k stacking method to anisotropic media, *J. Geophys. Res. Solid Earth*, 120, 5135–5153, DOI:10.1002/2014JB011858.
- Rümpker, G., Kaviani, A. & Latifi, K., 2014, Ps-splitting analysis for multi-layered anisotropic media by azimuthal stacking and layer stripping, *Geophys. J. Int.*, 199 (1), 146-163, DOI:10.1093/gji/ggu154.
- Wölbern, I., Löbl. U. & Rümpker, G., 2014, Crustal origin of trench-parallel shear-wave fast polarizations in the Central Andes, *Earth Planet. Sci. Lett.*, 392, 230-238, DOI:10.1016/j.epsl.2014.02.032
- Rümpker, G. & Silver, P.G., 1998, Apparent shear-wave splitting parameters in the presence of vertically-varying anisotropy, *Geophys. J. Int.*, 135, 790-800.

# Seismic anisotropy (SA)

**Definition:** SA describes the dependence of seismic wave velocities on

- the direction of propagation or
- shear-wave polarization.

## Causes

- preferred alignment of intrinsically anisotropic minerals
- the layering / geometric ordering of isotropic materials with contrasting elastic properties

## Effects

- shear wave splitting or birefringence
- azimuthal dependence of body and surface wave propagation speed
- discrepancy between propagation speeds of Love and Rayleigh waves

**SA is often assumed to have hexagonal symmetry** (i.e., transverse isotropy) with an axis of symmetry that is either horizontal (azimuthal anisotropy) or vertical (radial anisotropy), but other orientations may occur.

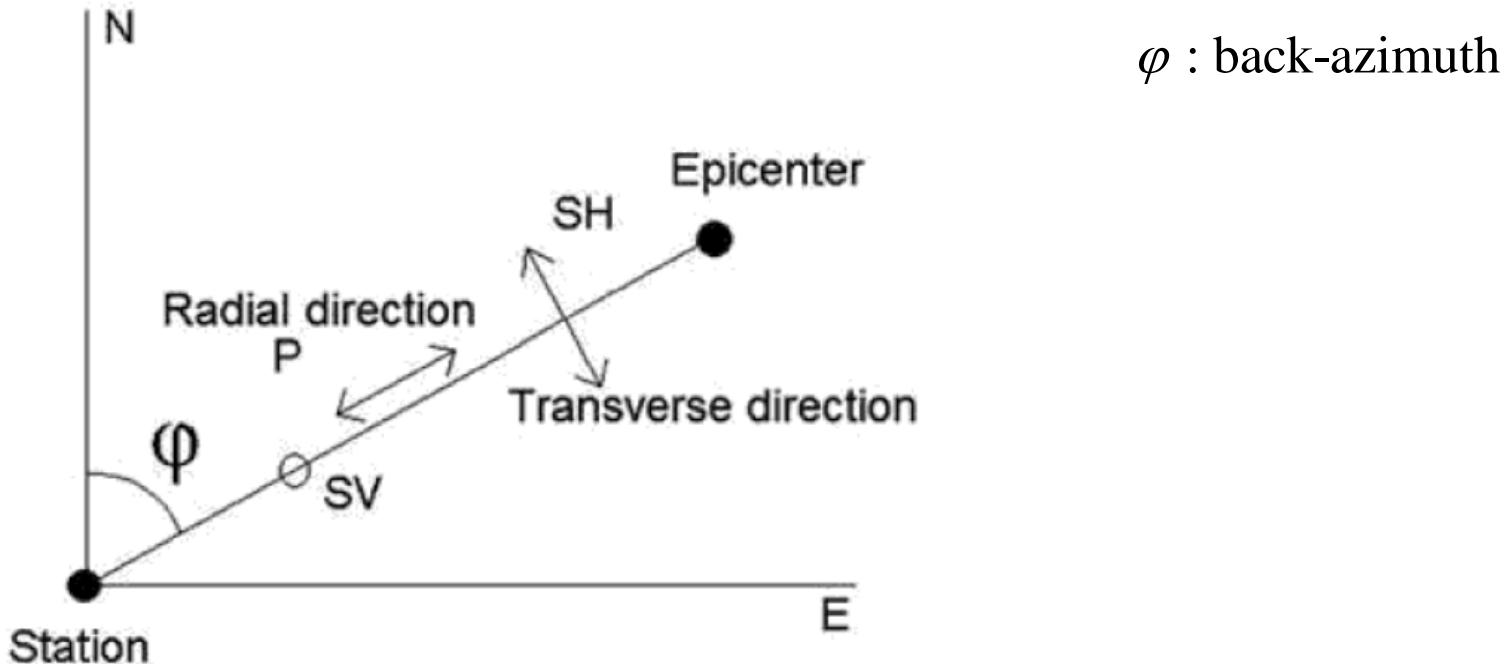
**SA in the upper mantle** is mainly due to strain-induced crystallographic or lattice preferred orientation (CPO, LPO) of intrinsically anisotropic mantle minerals, principally olivine.

**Radial anisotropy** is required in the uppermost mantle to reconcile Love and Rayleigh wave dispersion.

**Azimuthal anisotropy** occurs when seismic wave velocity changes with the azimuth of propagation.

(see <http://www.virtualuppermantle.info>)

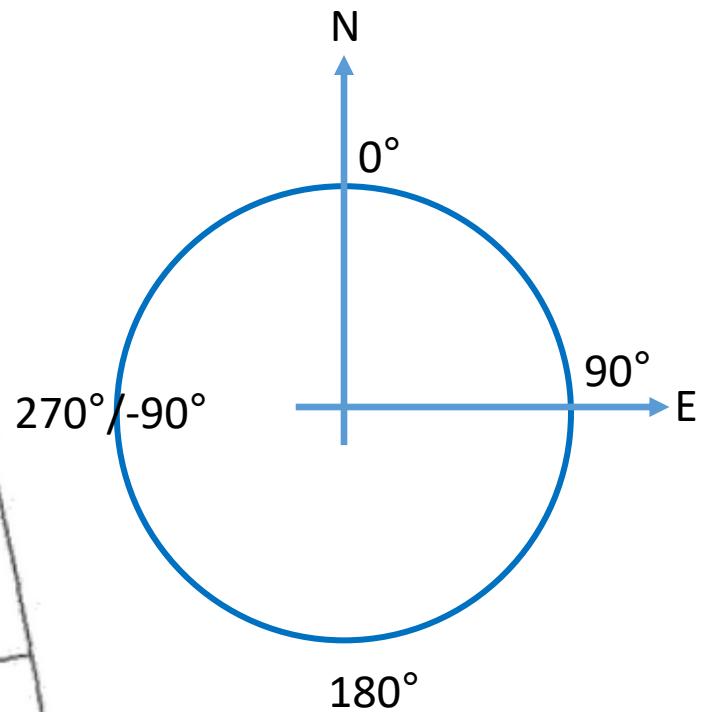
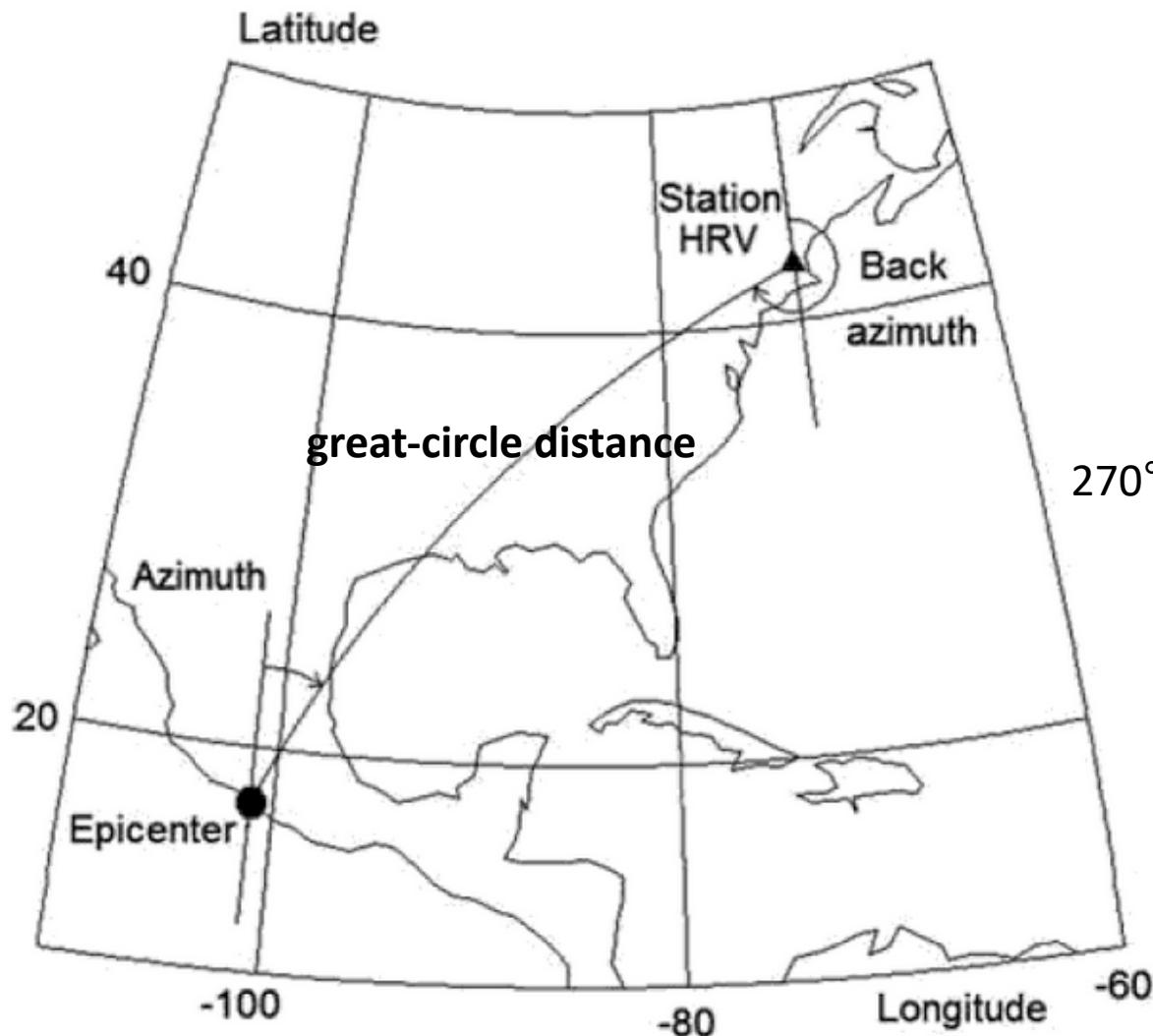
# Waves and their polarizations



$\varphi$  : back-azimuth

- P-waves: Linear in radial and vertical plane.
- SH waves: Linear in the transverse direction.
- SV waves: Linear in the radial and vertical plane.
- Rayleigh waves: Elliptical in the radial and vertical plane.
- Love waves: Linear in the transverse direction,

# Azimuth and Backazimuth



# Transformation from NE to RT coordinates

